

Human-AI Teaming in Healthcare Data Analytics

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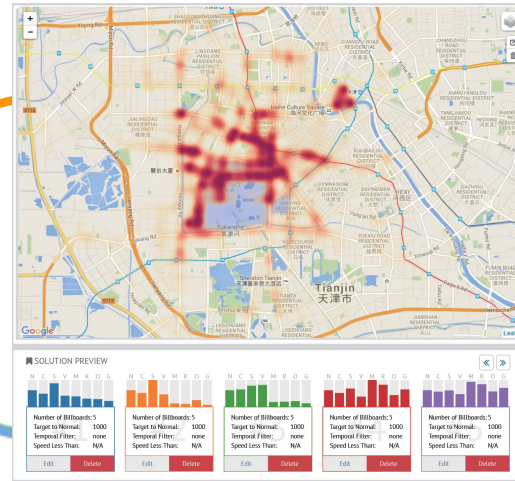
dyuliu@ucdavis.edu



My Research



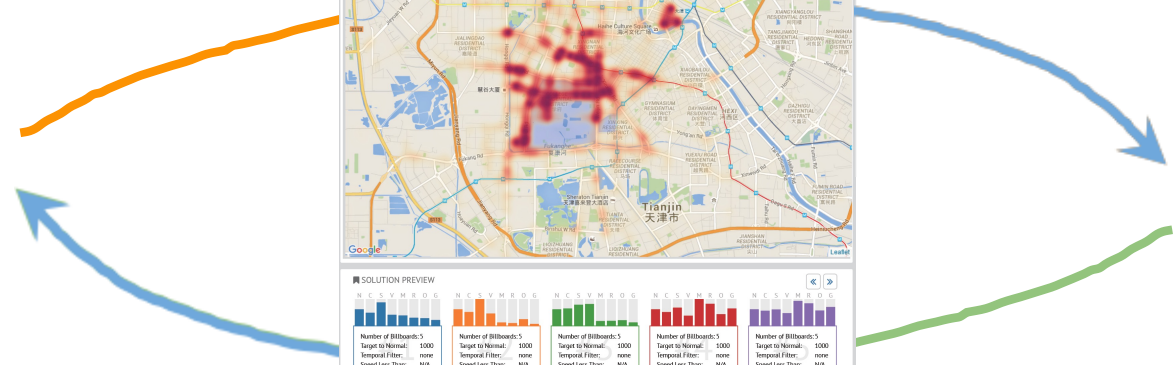
AI System
(Human-Centered)



Visual
Interface



Human



Your experience in

- AI (ML)
- data visualization

AI (ML)

The term is coined by Prof. John McCarthy in 1950s

“The science and engineering of making intelligent machines”

<https://hai.stanford.edu/sites/default/files/2020-09/AI-Definitions-HAI.pdf>

Intelligence might be defined as the ability to learn and perform suitable techniques to solve problems and achieve goals, appropriate to the context in an uncertain, ever-varying world. A fully pre-programmed factory robot is flexible, accurate, and consistent but not intelligent.

Artificial Intelligence (AI), a term coined by emeritus Stanford Professor John McCarthy in 1955, was defined by him as “the science and engineering of making intelligent machines”. Much research has humans program machines to behave in a clever way, like playing chess, but, today, we emphasize machines that can learn, at least somewhat like human beings do.

Autonomous systems can independently plan and decide sequences of steps to achieve a specified goal without micro-management. A hospital delivery robot must autonomously navigate busy corridors to succeed in its task. In AI, autonomy doesn’t have the sense of being self-governing common in politics or biology.

Machine Learning (ML) is the part of AI studying how computer agents can improve their perception, knowledge, thinking, or actions based on experience or data. For this, ML draws from computer science, statistics, psychology, neuroscience, economics and control theory.

In **supervised learning**, a computer learns to predict human-given labels, such as dog breed based on labeled dog pictures; **unsupervised learning** does not require labels, sometimes making its own prediction tasks such as trying to predict each successive word in a sentence; **reinforcement learning** lets an agent

learn action sequences that optimize its total rewards, such as winning games, without explicit examples of good techniques, enabling autonomy.

Deep Learning is the use of large multi-layer **(artificial) neural networks** that compute with continuous (real number) representations, a little like the hierarchically organized neurons in human brains. It is currently the most successful ML approach, usable for all types of ML, with better generalization from small data and better scaling to big data and compute budgets.

An **algorithm** lists the precise steps to take, such as a person writes in a computer program. AI systems contain algorithms, but often just for a few parts like a learning or reward calculation method. Much of their behavior emerges via learning from data or experience, a sea change in system design that Stanford alumnus Andrej Karpathy dubbed **Software 2.0**.

Narrow AI is intelligent systems for one particular thing, e.g., **speech** or **facial recognition**. **Human-level AI**, or **Artificial General Intelligence (AGI)**, seeks broadly intelligent, context-aware machines. It is needed for effective **social chatbots** or **human-robot interaction**.

Human-Centered Artificial Intelligence is AI that seeks to augment the abilities of, address the societal needs of, and draw inspiration from human beings. It researches and builds effective partners and tools for people, such as a robot helper and companion for the elderly.

AI (ML)

The term is coined by Prof. John McCarthy in 1950s

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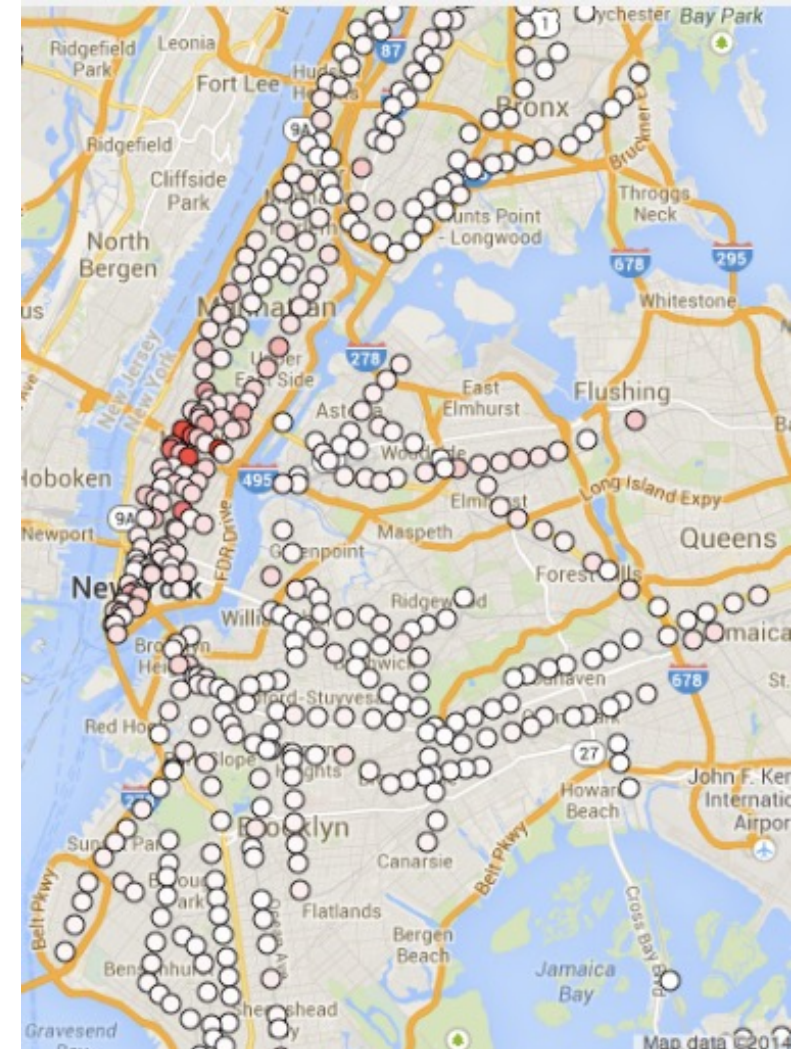
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Data visualization

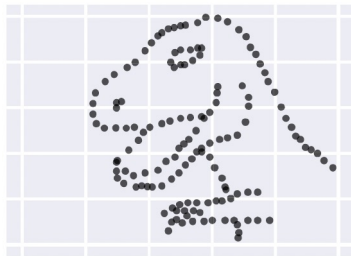
- “Data Visualization is the creation and study of the visual representation of data” - wiki

Input	<u>data</u>
Output	<u>visual form</u>
Goal	<u>insight</u>

MTA FARE @ NY City



Same stats, different graphs



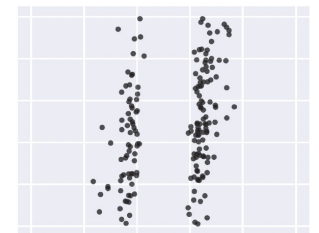
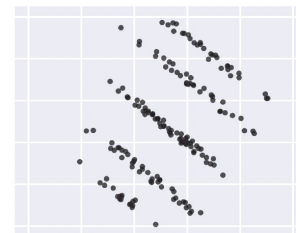
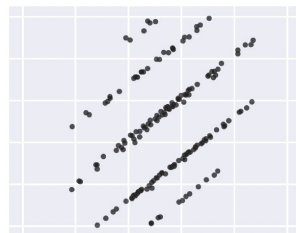
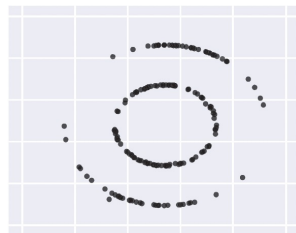
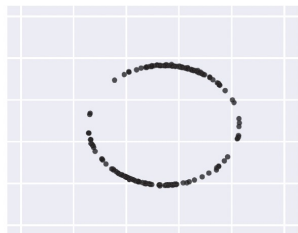
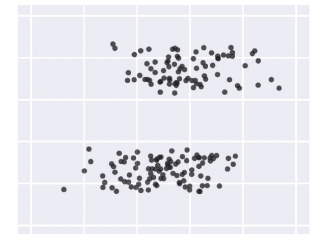
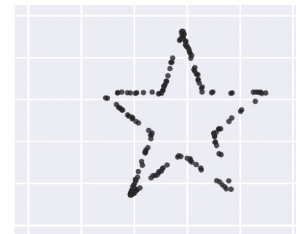
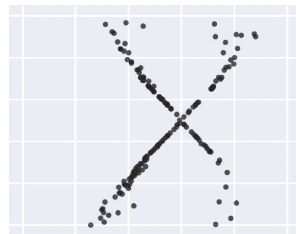
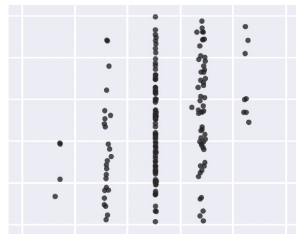
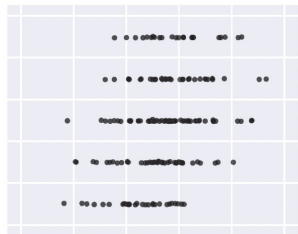
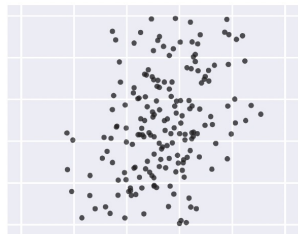
X Mean: 54.26

X SD: 16.76

Y Mean: 47.83

Y SD: 26.93

Corr. : -0.06



Matejka, and Fitzmaurice. Same stats, different graphs: generating datasets with varied appearance and identical statistics through simulated annealing. CHI 2017..

Intended Learning Outcomes (ILOs)

By the end of this class, you will be able to

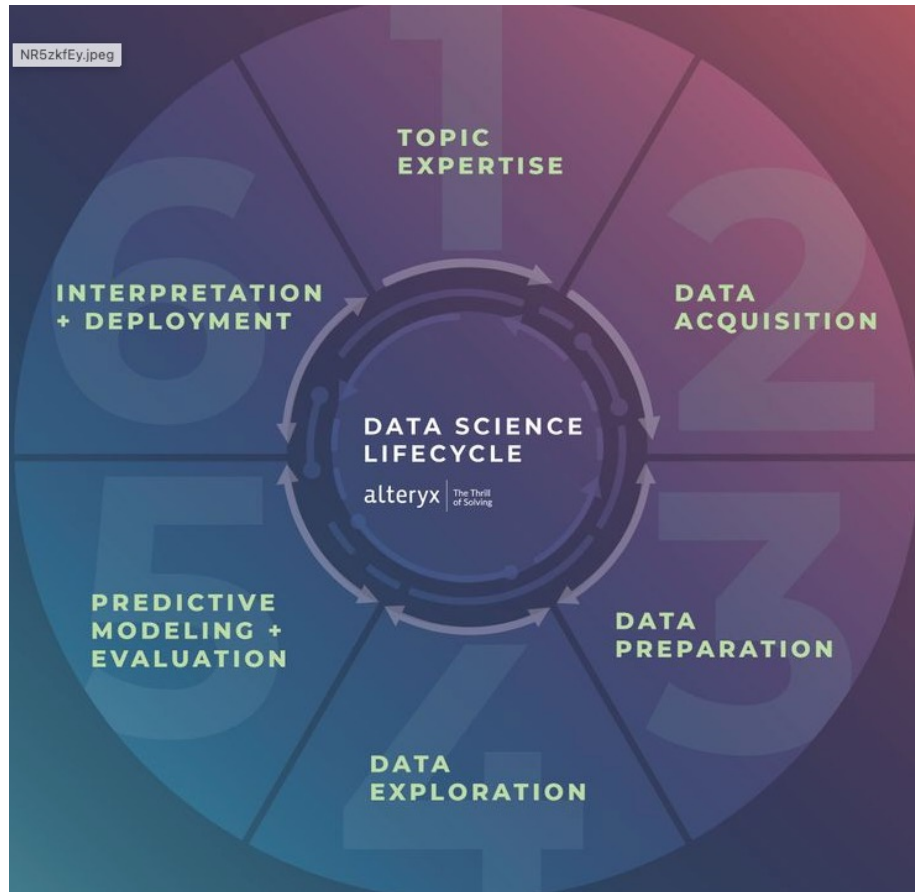
- 1 **Describe** what is the data science life cycle
- 2 **Recognize** the importance of visualization-empowered human AI teaming in such a life cycle
- 3 **Explain** three key scenarios how human AI teaming can help with healthcare data analytics

What is a data science life cycle?

Think-Pair-Share (2 min)

Data science life cycle

<https://community.alteryx.com/t5/Data-Science/The-Data-Science-Lifecycle/ba-p/408625>



1 The answers from ChatGPT:

- **Problem Definition:** Understand and define the business problem or question you're trying to address.
- **Goal Setting:** Determine the goals and objectives of the project.

Data science life cycle

<https://community.alteryx.com/t5/Data-Science/The-Data-Science-Lifecycle/ba-p/408625>



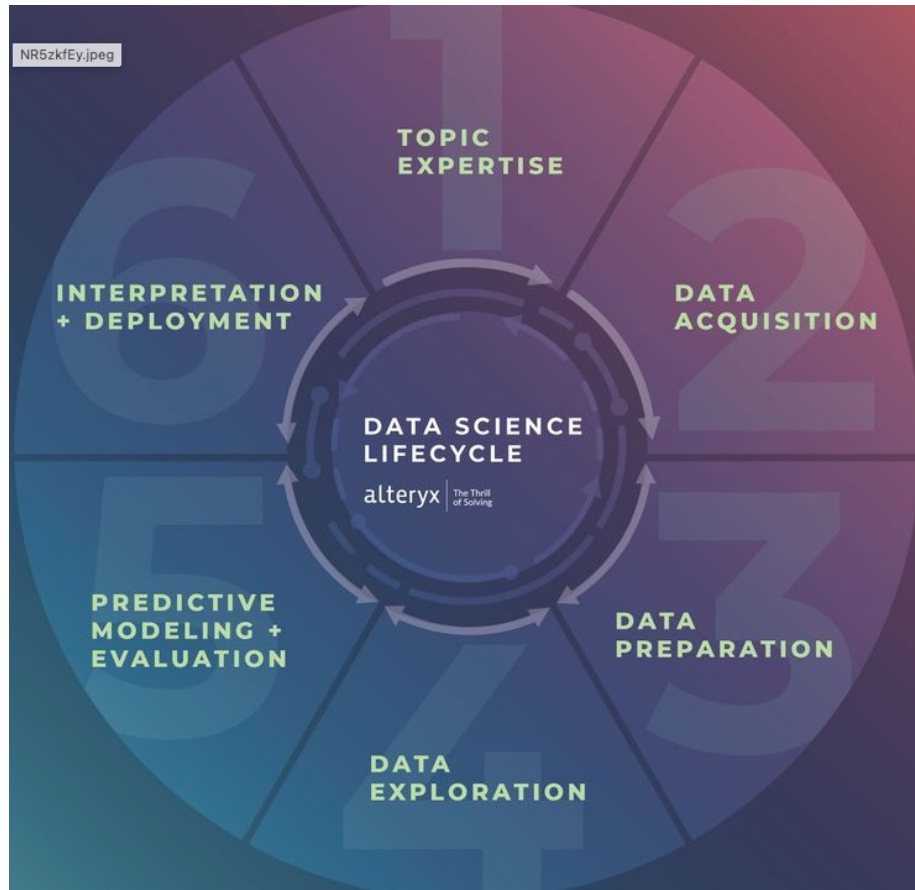
2

The answers from ChatGPT:

- **Data Collection:** Gather data from various sources such as databases, APIs, files, external data sources, etc.

Data science life cycle

<https://community.alteryx.com/t5/Data-Science/The-Data-Science-Lifecycle/ba-p/408625>

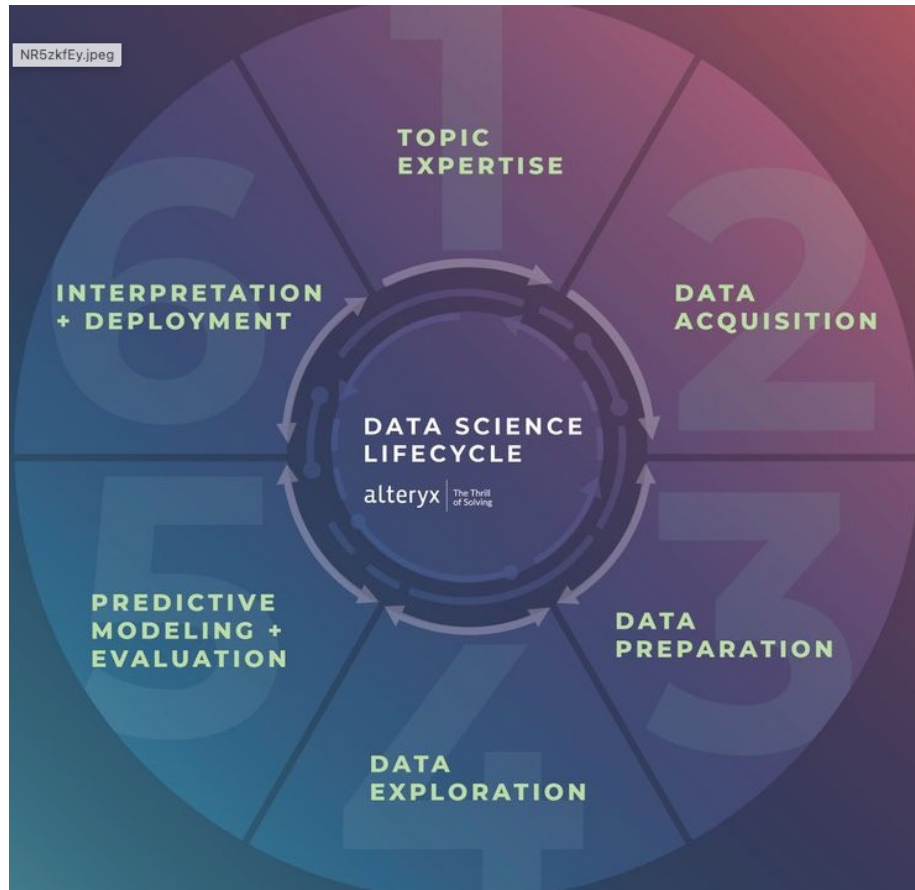


3 The answers from ChatGPT:

- **Data Cleaning:** Deal with missing values, outliers, and any incorrect data.
- **Data Transformation:** Convert the data into a format suitable for modeling, which might include normalizing, scaling, encoding, and feature engineering.

Data science life cycle

<https://community.alteryx.com/t5/Data-Science/The-Data-Science-Lifecycle/ba-p/408625>

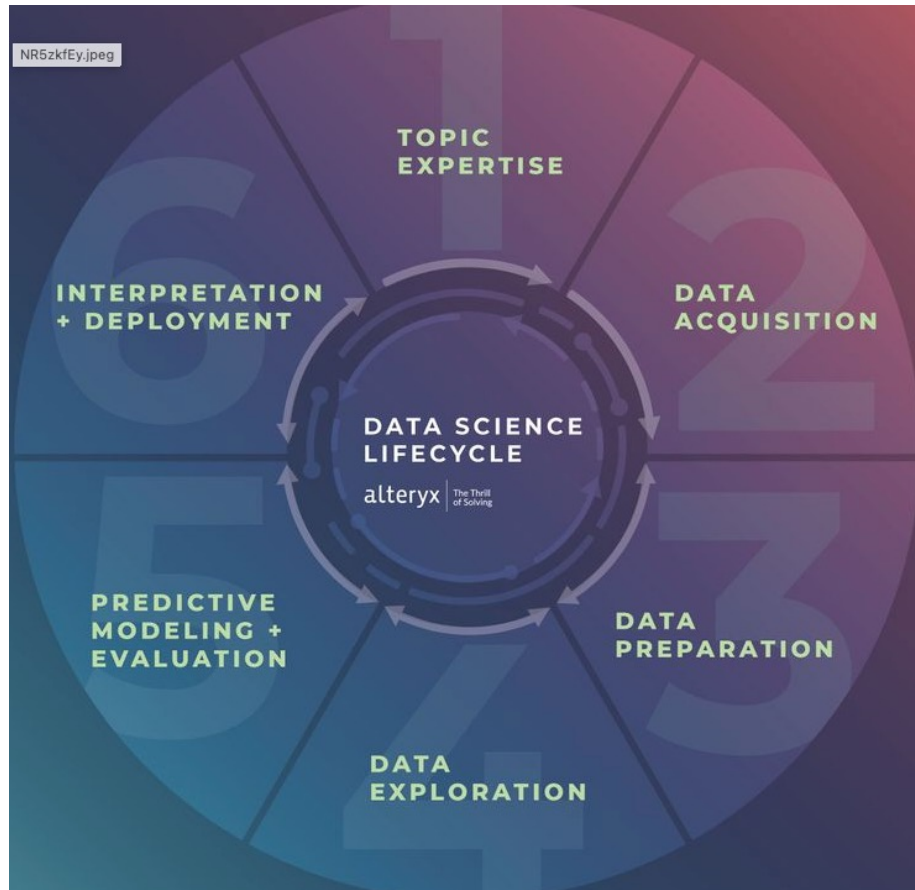


4 The answers from ChatGPT:

- **Data Exploration:** Examine the data to get a sense of its structure, quality, and potential value.
- **Data Quality Assessment:** Identify and address missing data, outliers, and errors.

Data science life cycle

<https://community.alteryx.com/t5/Data-Science/The-Data-Science-Lifecycle/ba-p/408625>



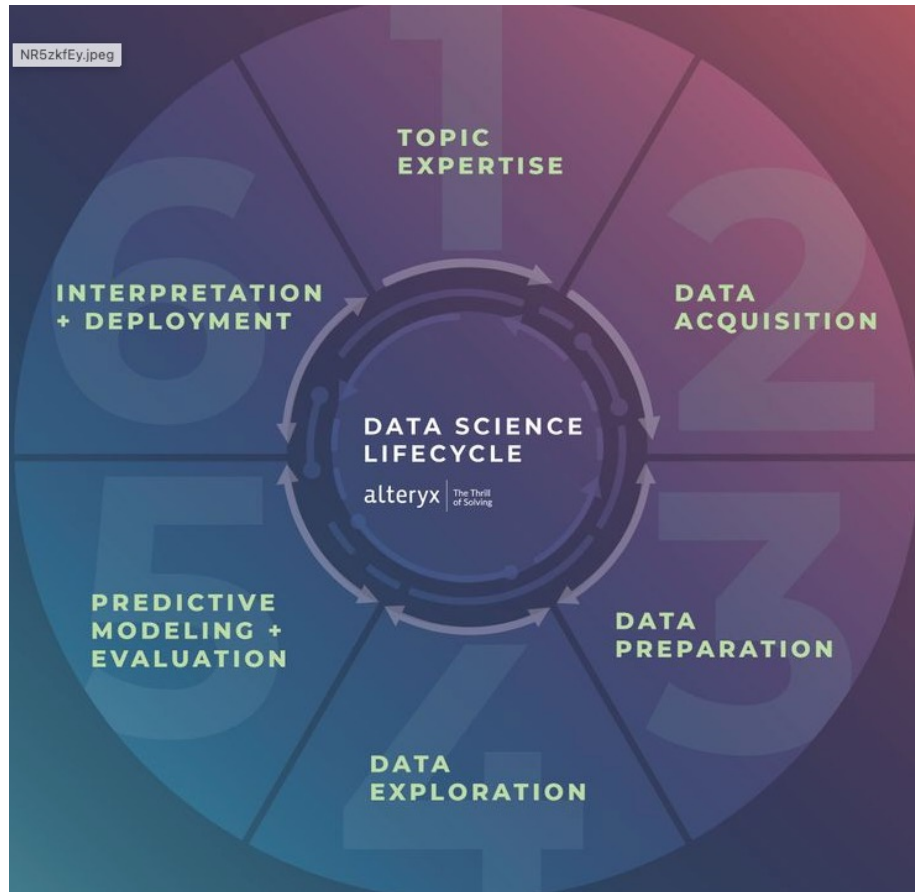
5 The answers from ChatGPT:

- **Feature Selection:** Determine which features (or variables) in the data will be used for modeling.
- **Model Selection:** Choose the appropriate algorithms or models to apply based on the problem type (e.g., regression, classification).
- **Training:** Use a subset of the data to "teach" or "train" the model.
- **Validation:** Evaluate the model's performance on a separate subset of data that it hasn't seen during training.

- **Model Testing:** Test the model's performance on a new dataset.
- **Performance Metrics:** Use relevant metrics (e.g., accuracy, precision, recall, RMSE) to assess the model's quality and reliability.

Data science life cycle

<https://community.alteryx.com/t5/Data-Science/The-Data-Science-Lifecycle/ba-p/408625>

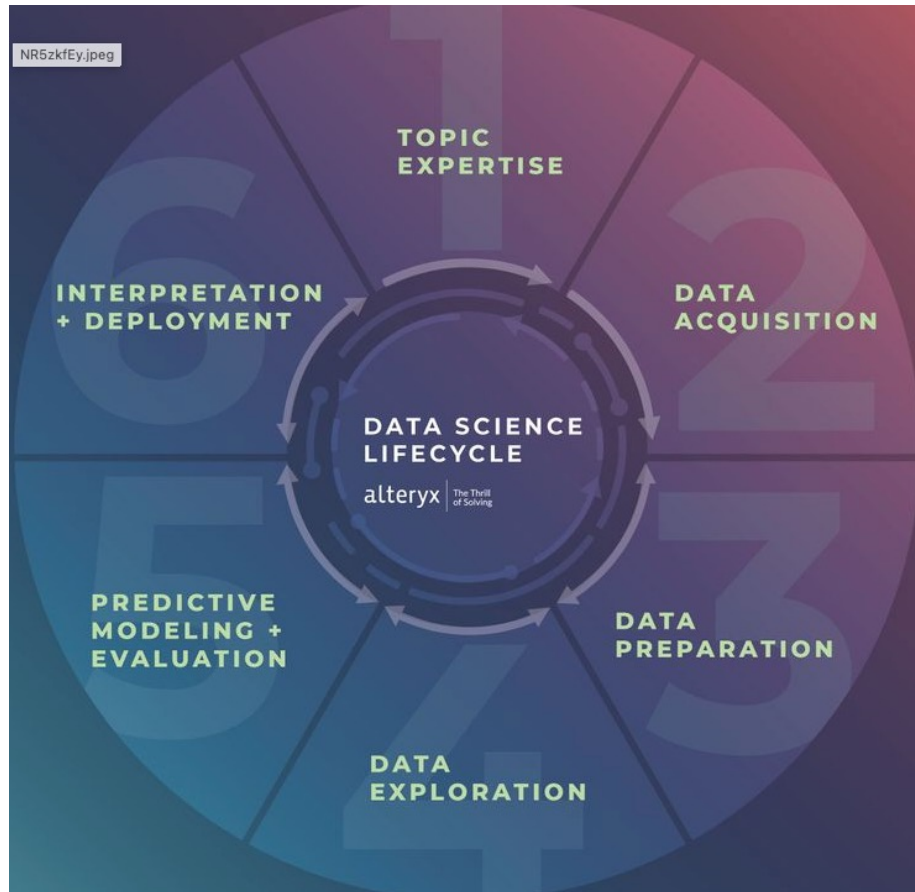


6 The answers from ChatGPT:

- **Deployment Planning:** Determine how the model will be integrated into the existing business processes or systems.
- **Model Deployment:** Implement the model in the desired production environment.
- **User Acceptance:** Ensure stakeholders are comfortable and satisfied with the deployed model's predictions or insights.

Data science life cycle

<https://community.alteryx.com/t5/Data-Science/The-Data-Science-Lifecycle/ba-p/408625>



Iterate:

- After deployment, new questions, challenges, or insights can emerge, leading to refinements or entirely new cycles of analysis.

AFOSR-3223

Summary Report

AUGMENTING HUMAN INTELLECT: A CONCEPTUAL FRAMEWORK

Prepared for:

DIRECTOR OF INFORMATION SCIENCES
AIR FORCE OFFICE OF SCIENTIFIC RESEARCH
WASHINGTON 25, D.C.

CONTRACT AF 49(638)-1024

By: D. C. Engelbart

STANFORD RESEARCH INSTITUTE

MENLO PARK, CALIFORNIA



J.C.R. Licklider
March 1960



Douglas C. Engelbart
October 1962

Augmenting human intelligence with AI

- Perception →
- Attention
- Memory
- Language
- Reasoning
- Problem-solving
- Decision-making
- Creativity



Lung cancer detection

Schultheiss, Manuel, et al. "A robust convolutional neural network for lung nodule detection in the presence of foreign bodies." Scientific Reports 10.1 (2020): 12987.

Augmenting human intelligence with AI

- Perception
- Attention
- Memory
- **Language** →
- Reasoning
- Problem-solving
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- Creativity

Example of USMLE-style question

A 32-year-old woman comes to the physician because of fatigue, breast tenderness, increased urinary frequency, and intermittent nausea for 2 weeks. Her last menstrual period was 7 weeks ago. She has a history of a seizure disorder treated with carbamazepine. Physical examination shows no abnormalities. A urine pregnancy test is positive. The child is at greatest risk of developing which of the following complications?

- A. Renal dysplasia
- B. Meningocele
- C. Sensorineural hearing loss
- D. Vaginal clear cell carcinoma

Large language model for medical question answering

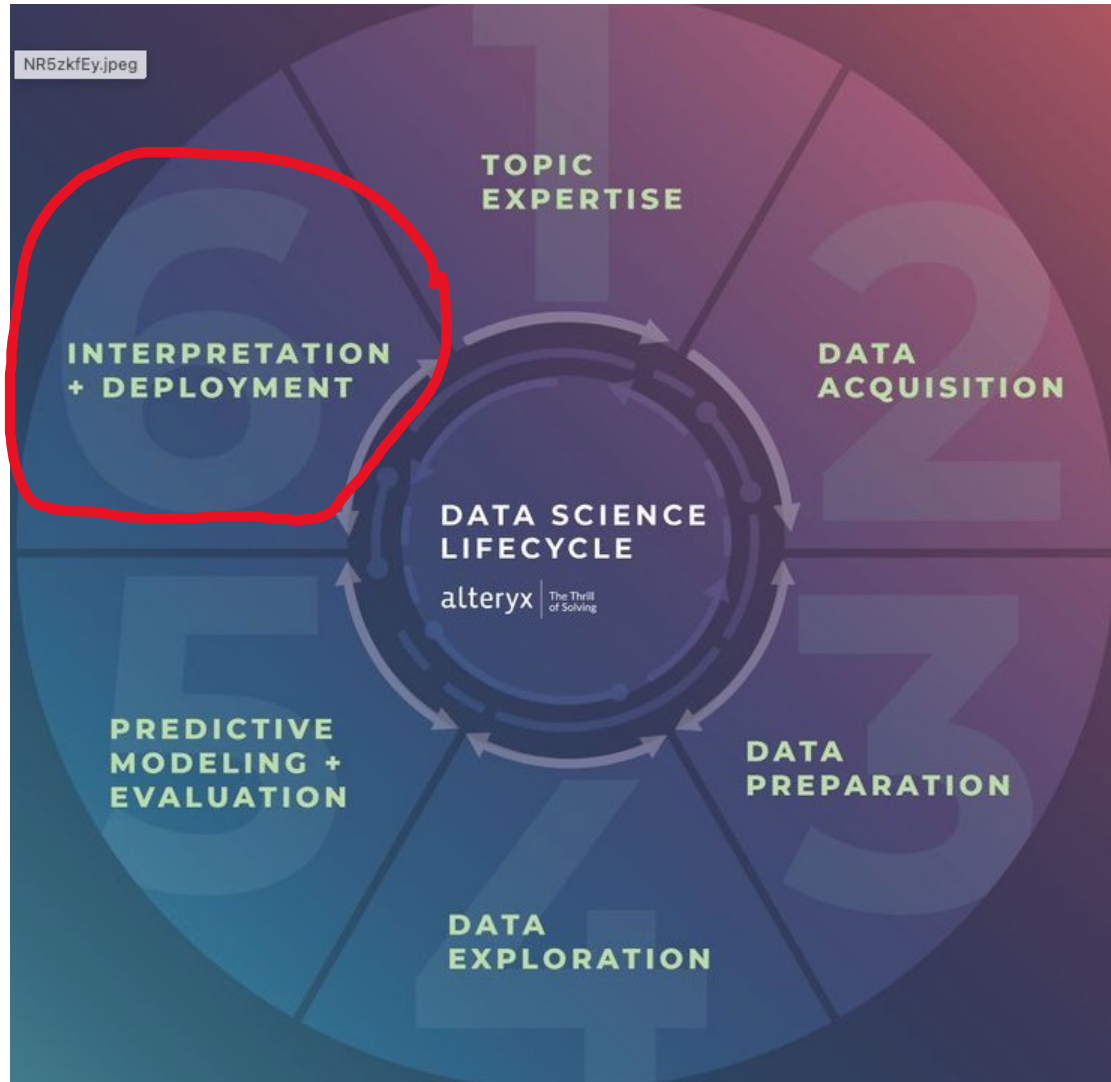
Med-PaLM from Google Research. <https://sites.research.google/med-palm/>

Augmenting human intelligence with AI

- Perception
- Attention
- Memory
- Language
- Reasoning
- Problem-solving
- **Decision-making**
- Creativity



Clinical decision support



Nearly half of U.S. doctors say they are anxious about using AI-powered software: survey

By Heather Landl • Apr 25, 2019 10:55am

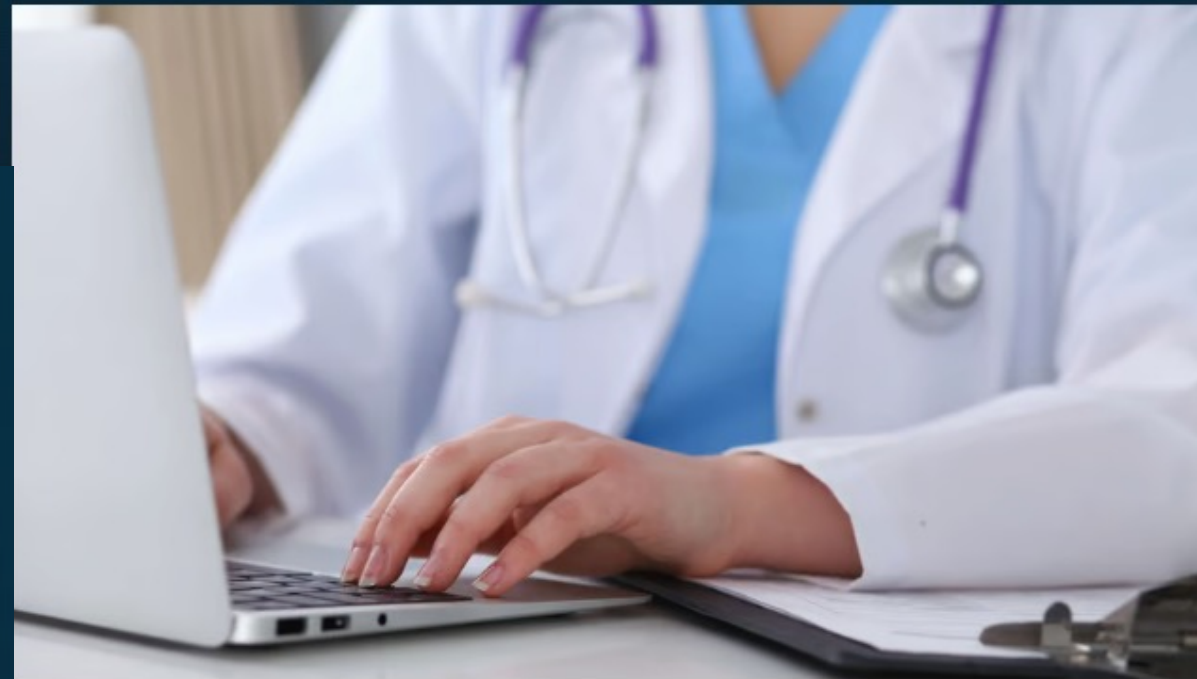
Artificial Intelligence

Clinical Decision Support

Digital health

machine learning

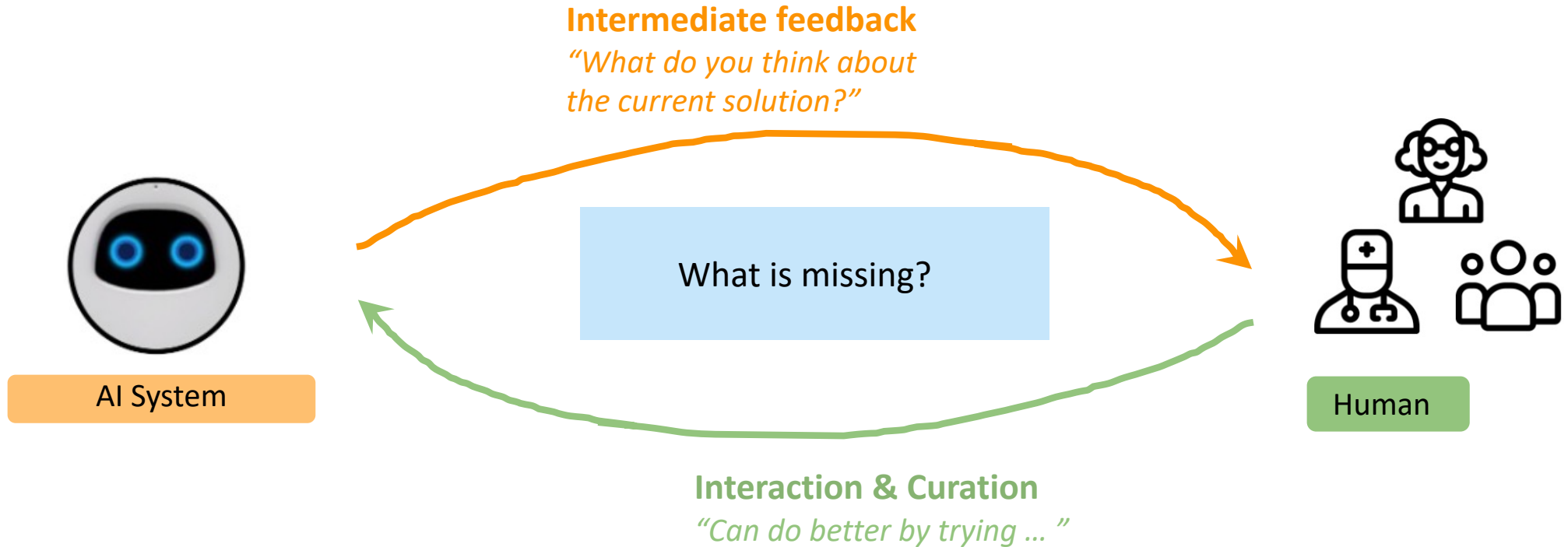
AI is promising, but ...



<https://www.fiercehealthcare.com/practices/nearly-half-u-s-doctors-say-they-are-anxious-about-using-ai-powered-software-survey>

A new physician survey indicates artificial intelligence applications are still in their infancy and have not affected mainstream physician practice at scale. (Getty/andrej_r)

A general blueprint of Human-AI teaming



General blueprint for a human-in-the-loop interactive AI system. Image modified from:
<https://hai.stanford.edu/news/humans-loop-design-interactive-ai-systems>

Visualization-powered teaming workflow

Intermediate feedback

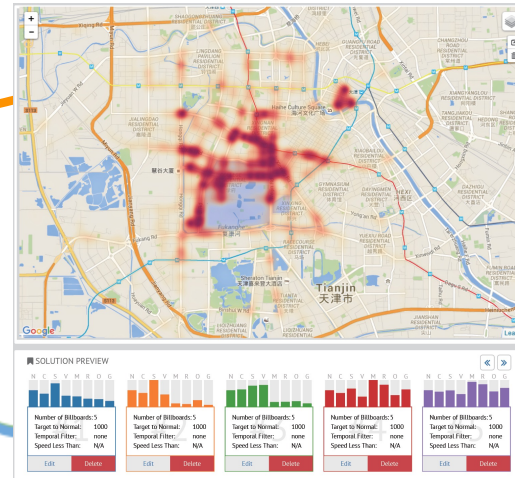
“What do you think about the current solution”



AI System
(Human-Centered)

*“If we want it to play a positive role in tomorrow’s world, it must be **guided by human concerns**”*

Feifei Li (Stanford’s Human-Centered AI Institute)



Visual
Interface



Human

Interaction & Curation

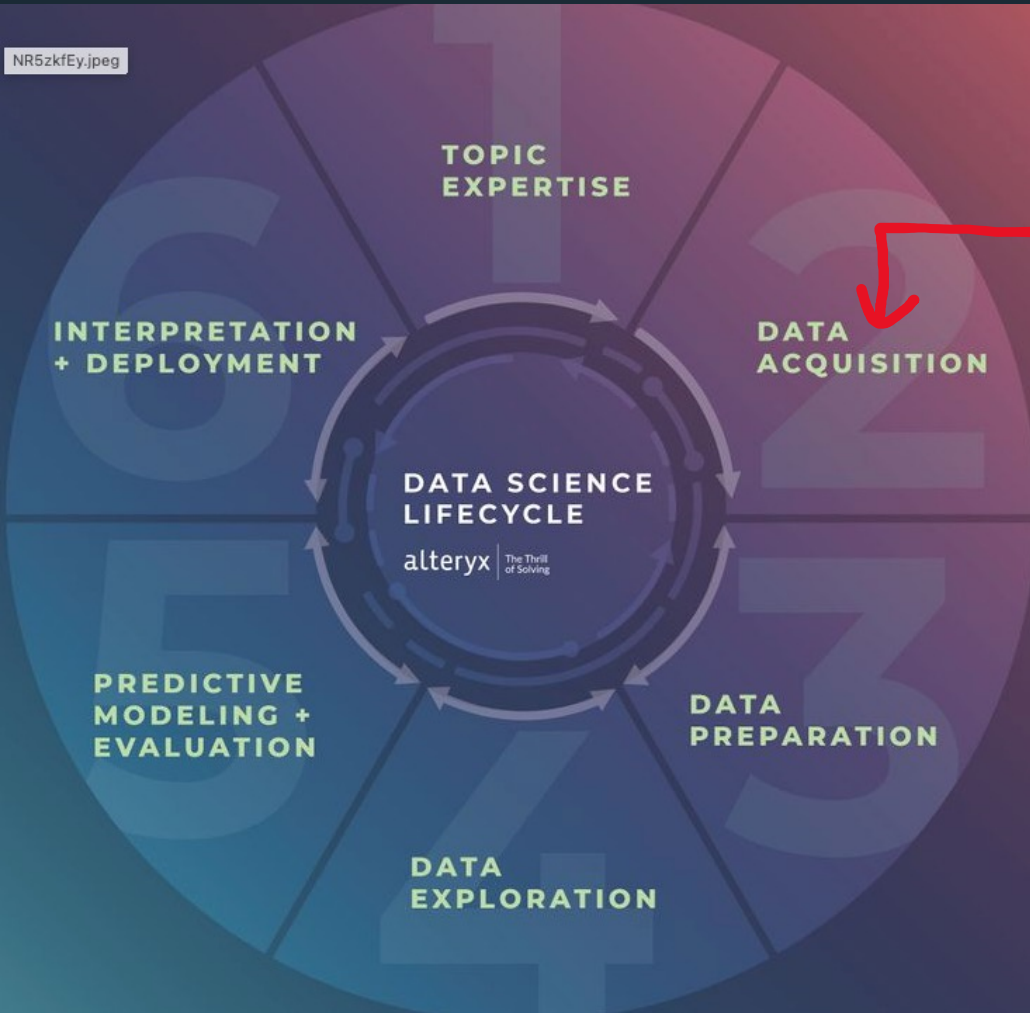
“Can do better by trying ...”

Human-AI teaming is important in healthcare domain, because

1. Complicated data and the requirement of extensive domain knowledge to process
2. Limited labeled datasets, leading to limited model performance
3. High-stakes decision-making
4. Complex contextual information and multiple factors
5. Ethical concerns

....

Human-AI Teaming in Healthcare Data Analytics



- **Data collection**

A case of virtual cognitive testing

- **Model development**

AutoML + Visualization

- **Decision-making**

A case of pediatric cardiac surgery



Data challenges:

- Lack of data
- Absence of labels
- Dirty data

The problem

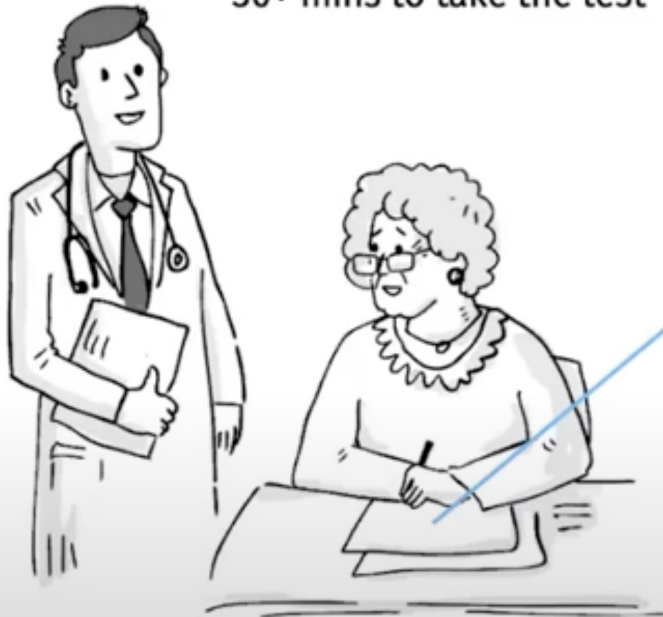
The World Health Organization estimated that the total worldwide cost of dementia in 2018 was

\$1 Trillion USD.

This figure is expected to rise to 2 trillion USD by 2030.

How is the testing done?

Administered by a trained professional
30+ mins to take the test

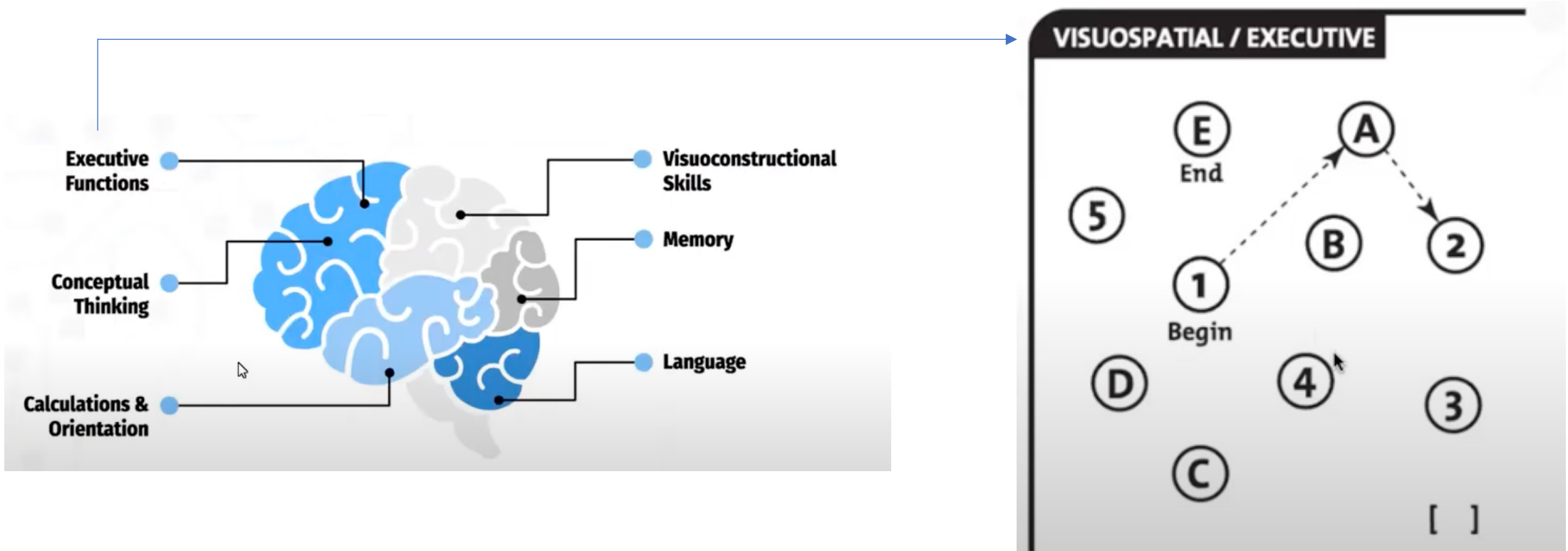


MONTREAL COGNITIVE ASSESSMENT (MOCA)
Version 7.3 Original Version

NAME: _____ Education: _____ Date of birth: _____
Sex: _____ DATE: _____

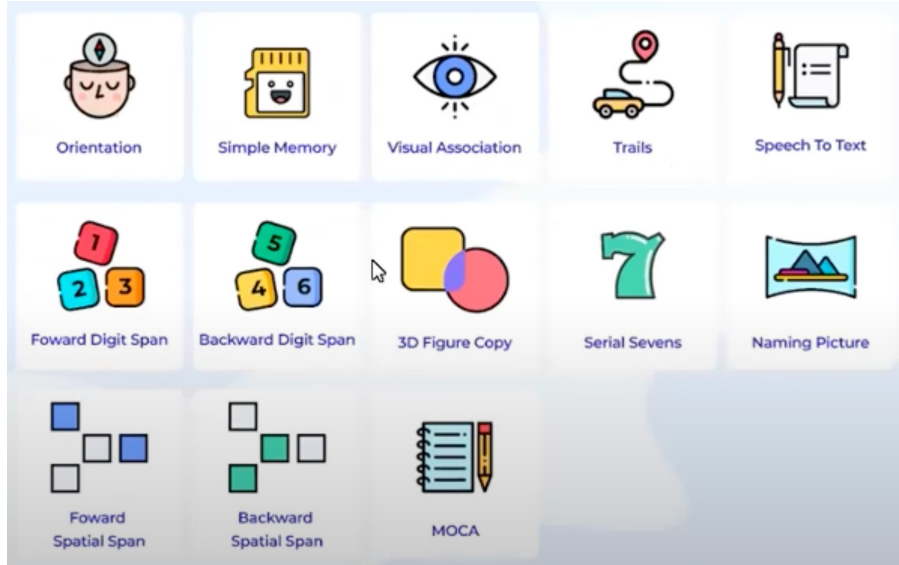
VISUOSPATIAL / EXECUTIVE		Copy cube	Draw CLOCK (Ten past eleven)	Points					
		<input type="checkbox"/>	<input type="checkbox"/> Contour <input type="checkbox"/> Numbers <input type="checkbox"/> Hands	___/5					
NAMING									
				___/3					
MEMORY									
Read list of words, subject must repeat them. Do 2 trials, even if 1st trial is successful. Do a recall after 5 minutes.		FACE	VELVET	CHURCH	DAISY	RED	No points		
1st trial									
2nd trial									
ATTENTION									
Read list of digits (1 digit/sec). Subject has to repeat them in the forward order						2 1 8 5 4	___/2		
Subject has to repeat them in the backward order						4 5 2 1 8			
Read list of letters. The subject must tap with his hand at each letter A. No points if > 2 errors						F B A C M N A A J K L B A F A K D E A A A J A M O F A A B	___/1		
Serial 7 subtraction starting at 100		93	86	79	72	65	___/3		
4 or 5 correct subtractions: 3 pts, 2 or 3 correct: 2 pts, 1 correct: 1 pt, 0 correct: 0 pt									
LANGUAGE									
Repeat: I only know that John is the one to help today. The cat always hid under the couch when dogs were in the room.							___/2		
Fluency / Name maximum number of words in one minute that begin with the letter F						(N > 11 words)	___/1		
ABSTRACTION									
Similarity between e.g. banana - orange = fruit		train - bicycle		watch - ruler			___/2		
DELAYED RECALL									
Has to recall words WITH NO CUE		FACE	VELVET	CHURCH	DAISY	RED	Points for INCORRECT recall only		
Concepts cue									
Optional Multiple-choice cue									
ORIENTATION									
Date		Month		Year		Day	Place	City	___/6
© Z.Nasreddine MD www.mocatest.org Normal > 26 / 30							TOTAL	___/30	
Administered by _____							Add 1 point if < 12 yr old		

Testing a variety of cognitive functions

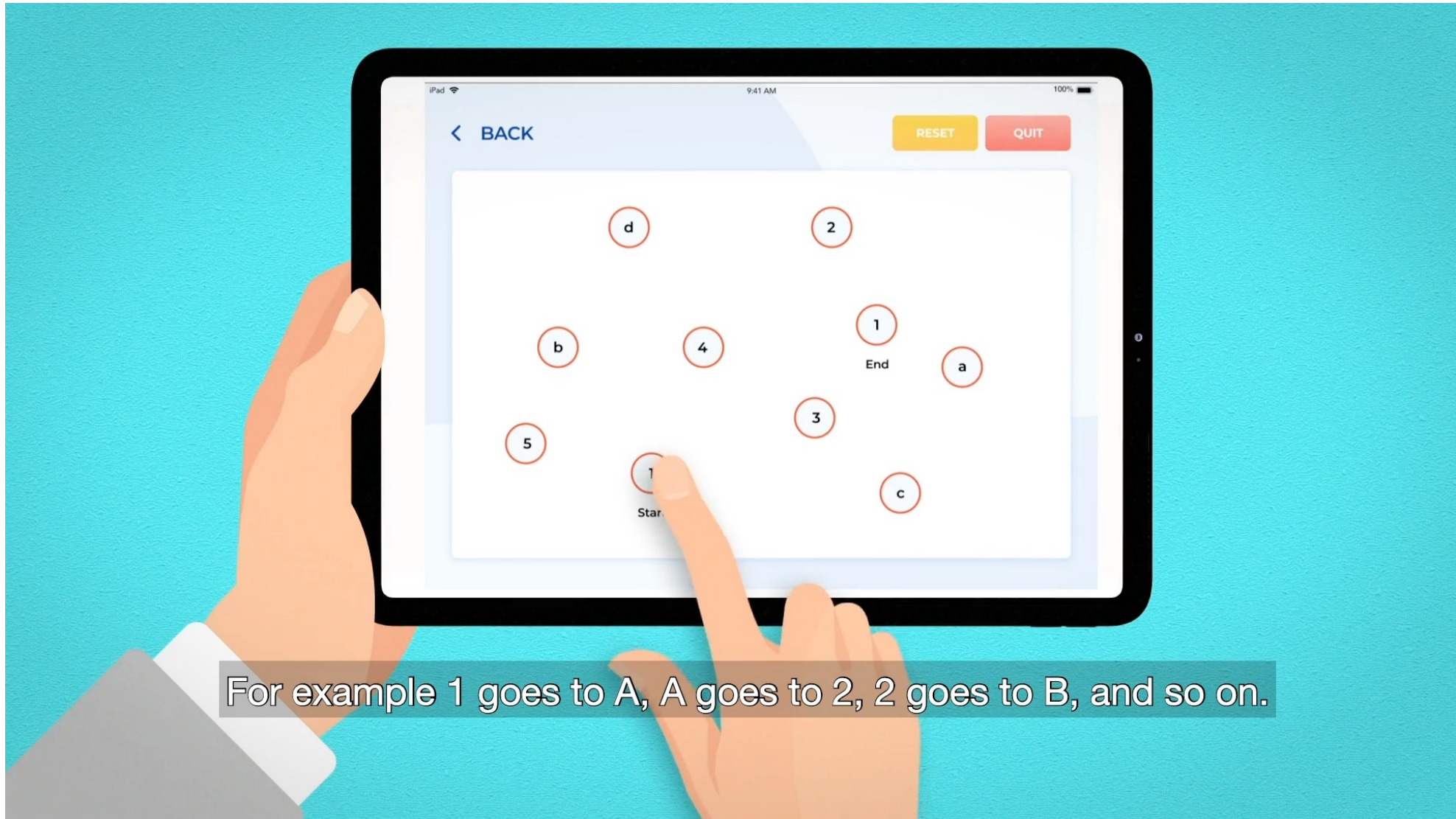


IBOCA - A digital tool for the early detection of cognitive impairments

- Easily accessible, noninvasive, quick and comprehensive assessments.
- Accurate and fine-grained data collection.



13 tests are currently included

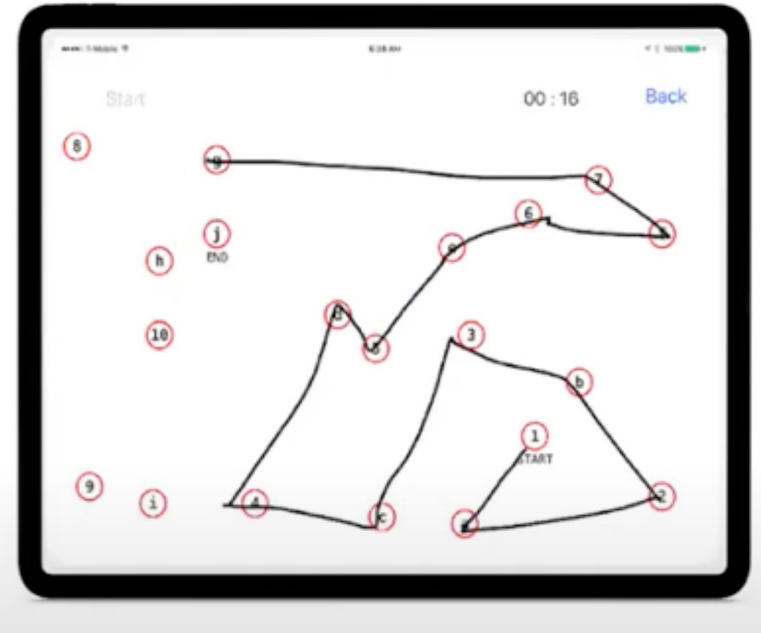


For example 1 goes to A, A goes to 2, 2 goes to B, and so on.

The stats of data collected (very preliminary)

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  'y': '407.0'},  
  '10.0178990364075': {'status': 'Lineto', 'x': '728.0', 'y': '406.0'},  
  '10.034548997879': {'status': 'Lineto', 'x': '725.5', 'y': '405.0'},  
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  '10.084725022316': {'status': 'Lineto', 'x': '720.5', 'y': '402.5'},  
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  '10.1347559690475': {'status': 'Lineto', 'x': '718.5', 'y': '401.0'},  
  '10.1523489952087': {'status': 'Lineto', 'x': '718.5', 'y': '400.5'},  
  '11.2544059753418': {'status': 'Moveto', 'x': '710.5', 'y': '397.0'},  
  '11.4330999851227': {'status': 'Lineto', 'x': '706.0', 'y': '396.5'},  
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  '11.4864829778671': {'status': 'Lineto', 'x': '702.5', 'y': '396.5'},
```

```
'1': {'Correct': 'true', 'End': 1, 'Start': 0, 'Time (ms)': 9997},  
'2': {'Correct': 'true', 'End': 2, 'Start': 1, 'Time (ms)': 12414},  
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'Total Bubbles': 4
```

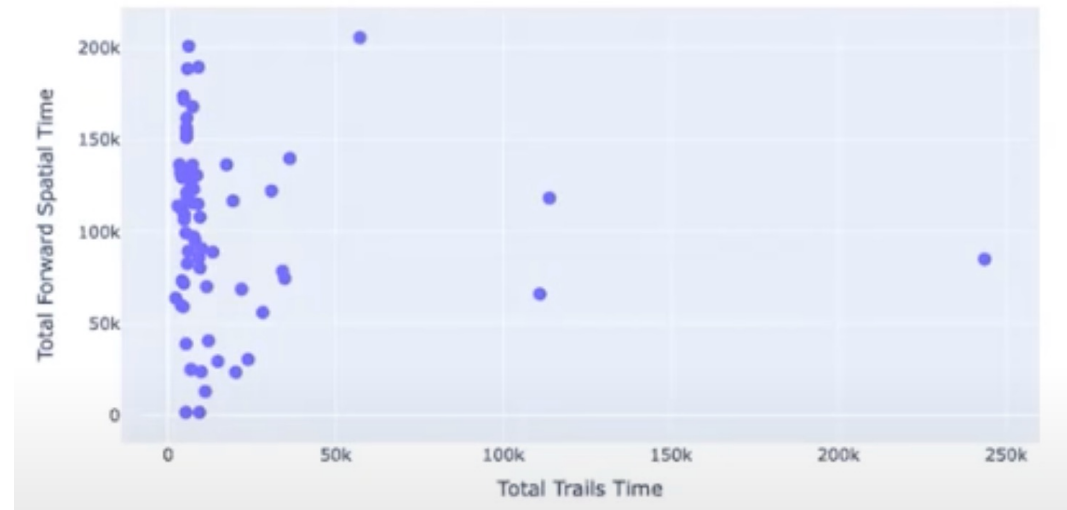
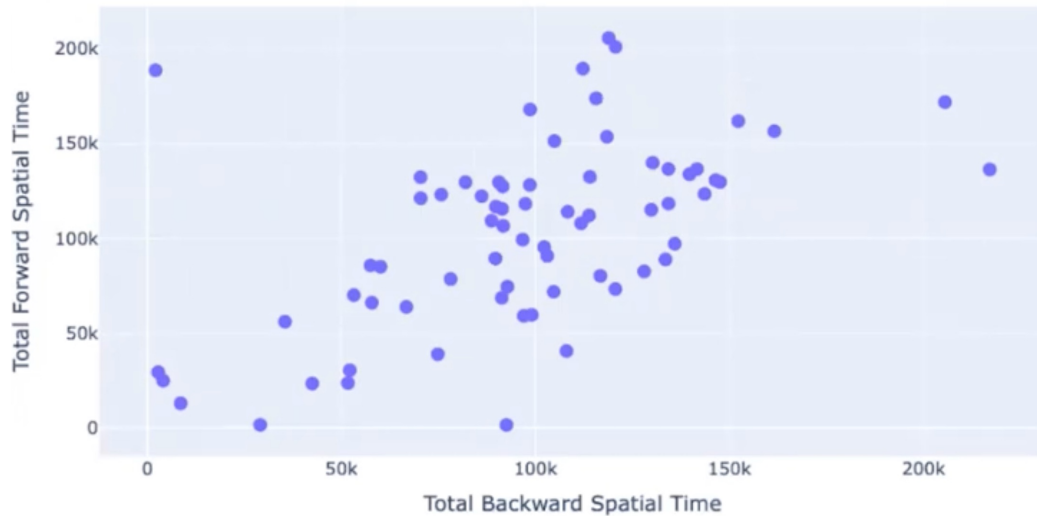


12 Patients tested remotely

What has the data enabled?

Conduct data analysis:

- Is the time taken for these tests correlated?



What has the data enabled?

Build AI/ML models to:

- improve test accuracy
- create data-driven adaptive tests
- assist in clinical validation and decision-making
- and more...

Human-AI Teaming in Healthcare Data Analytics

- Data collection

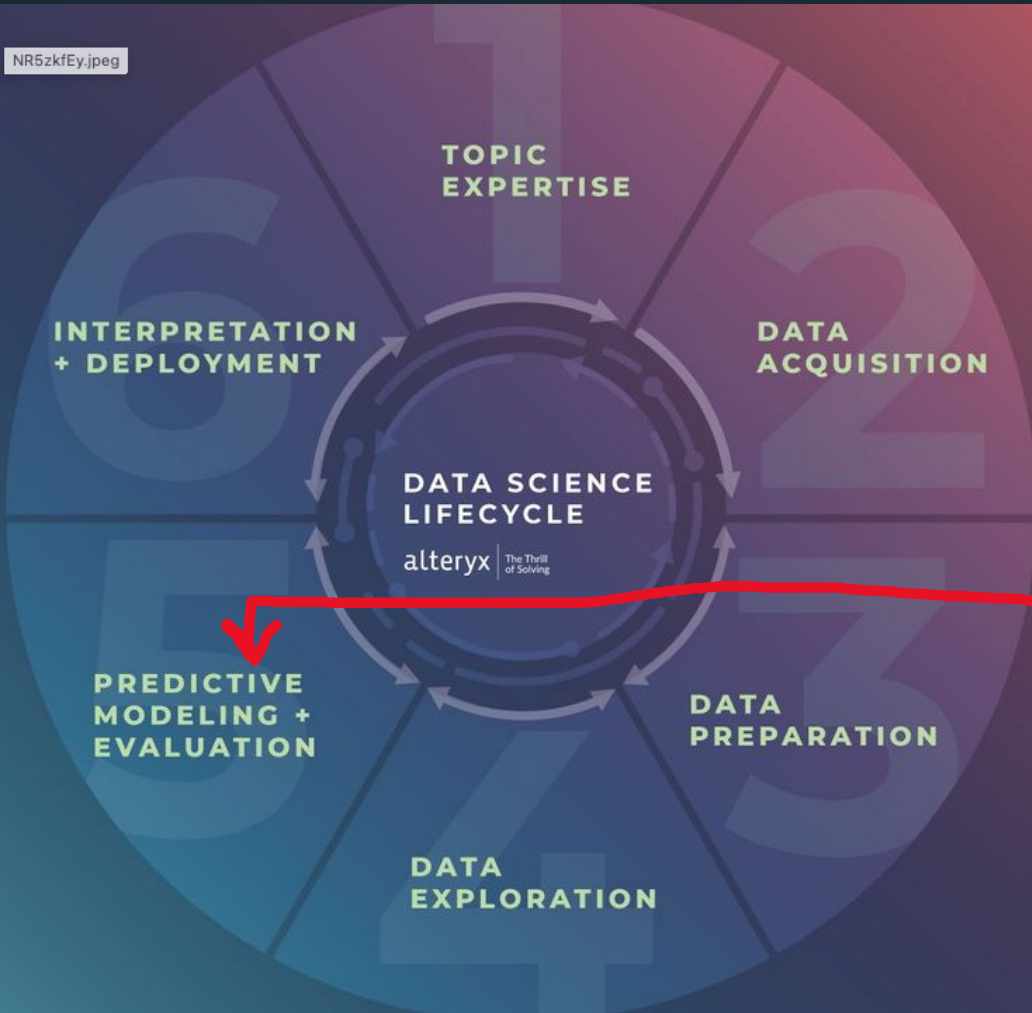
A case of virtual cognitive testing

- **Model development**

AutoML + Visualization

- Decision-making

A case of pediatric cardiac surgery



Electronic health record (EHR) dataset


scientific **data**

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[Open Access](#) | [Published: 24 May 2016](#)

MIMIC-III, a freely accessible critical care database

[Alistair E.W. Johnson](#), [Tom J. Pollard](#) , [Lu Shen](#), [Li-wei H. Lehman](#), [Mengling Feng](#), [Mohammad Ghassemi](#), [Benjamin Moody](#), [Peter Szolovits](#), [Leo Anthony Celi](#) & [Roger G. Mark](#)

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Electronic health record (EHR) dataset


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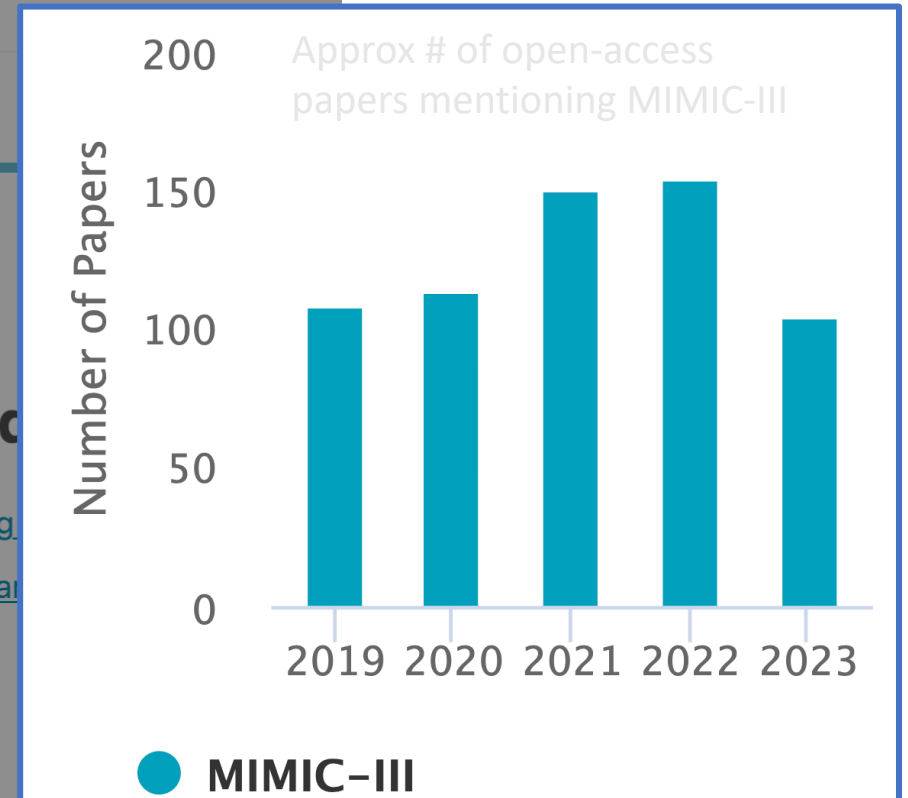
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MIMIC-III, a freely accessible critical care c

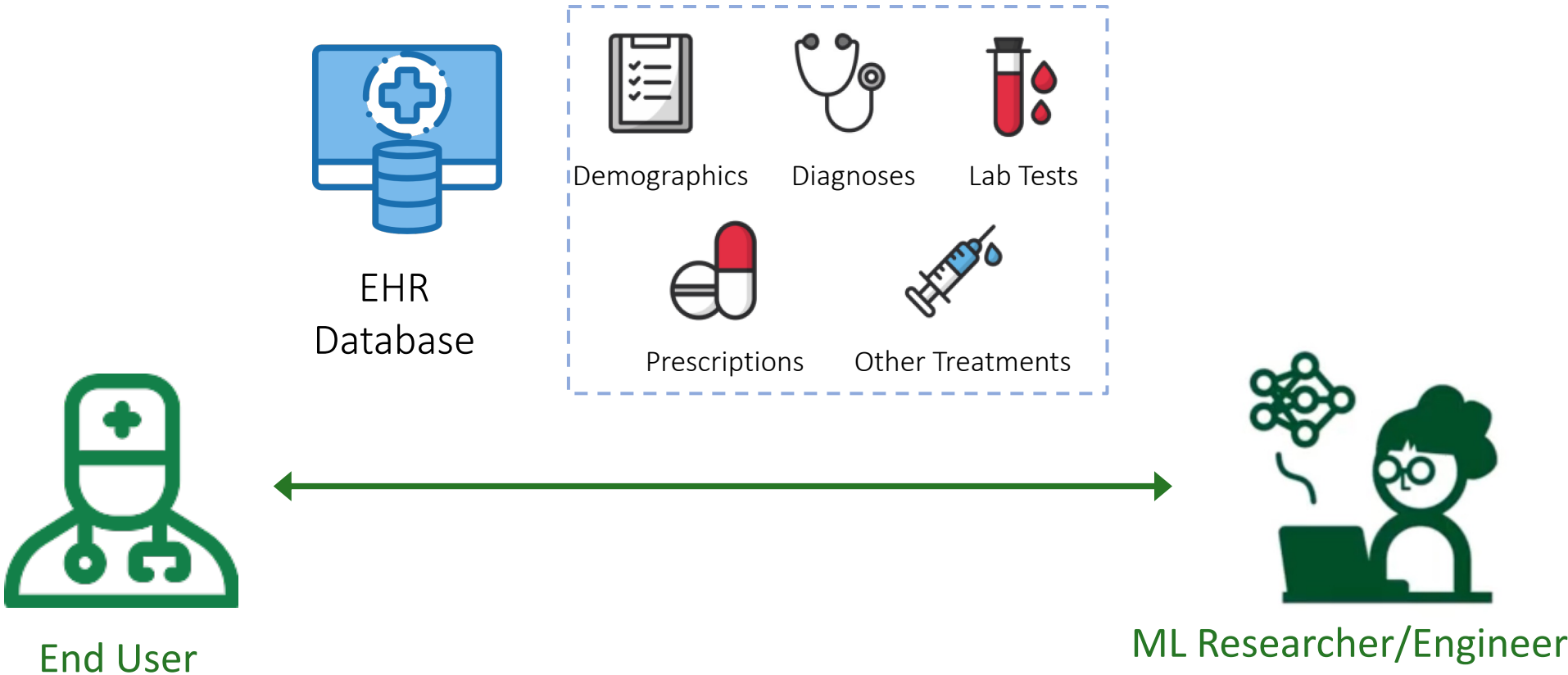
[Alistair E.W. Johnson](#), [Tom J. Pollard](#) , [Lu Shen](#), [Li-wei H. Lehman](#), [Mengling](#)
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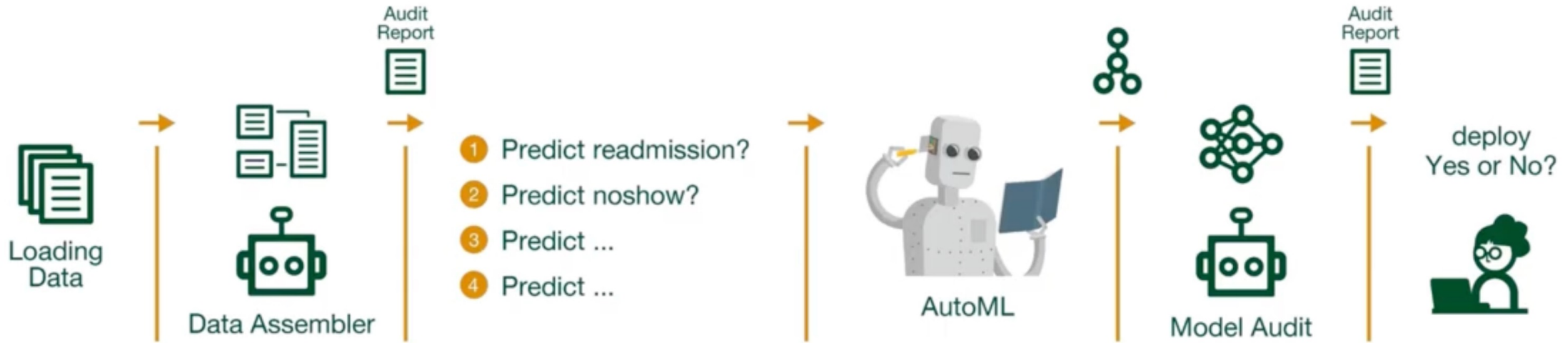


How AI/ML models are being built?



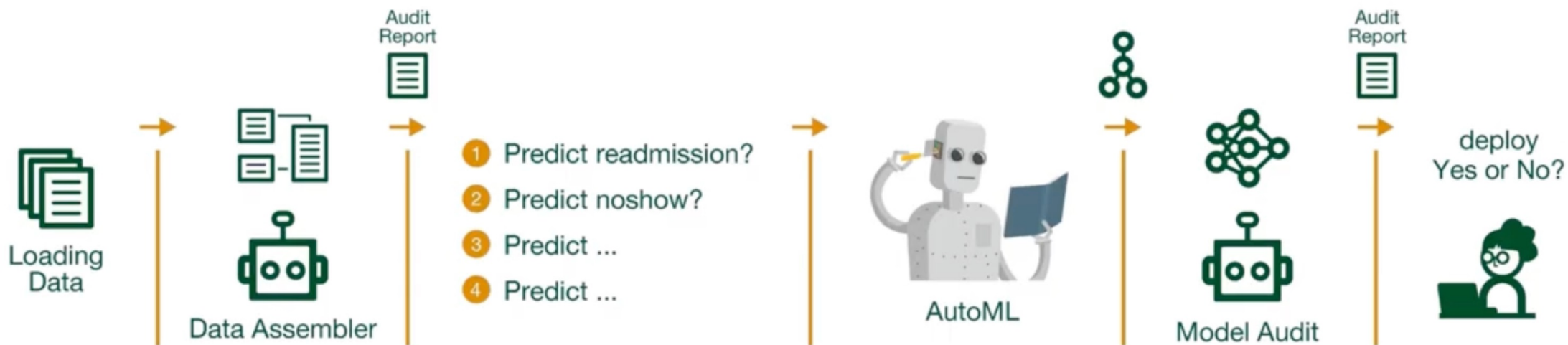
Cardea Framework

Alnegheimish, Alrashed, Aleissa, Althobaiti, **Liu**, et al., Cardea: An Open Automated Machine Learning Framework for Electronic Health Records. DSAA 2020.



Cardea Framework

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Mortality

Predict patient mortality from the point of admission.

Readmission

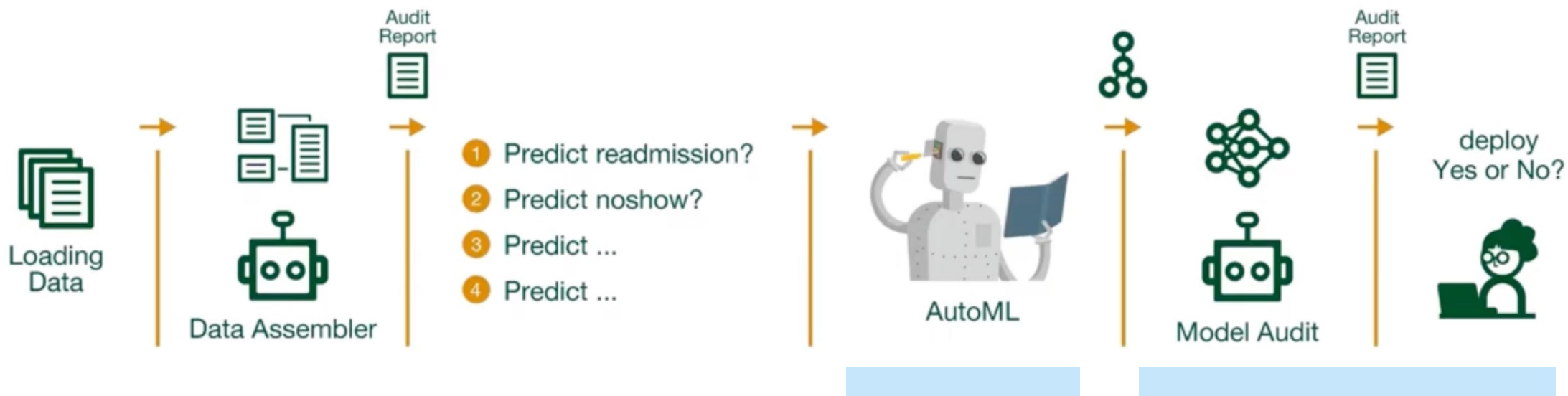
Predicts whether a patient will revisit the hospital after discharge.

LOS ≥ 7

Predict whether the patient will stay more than a week in the hospital.

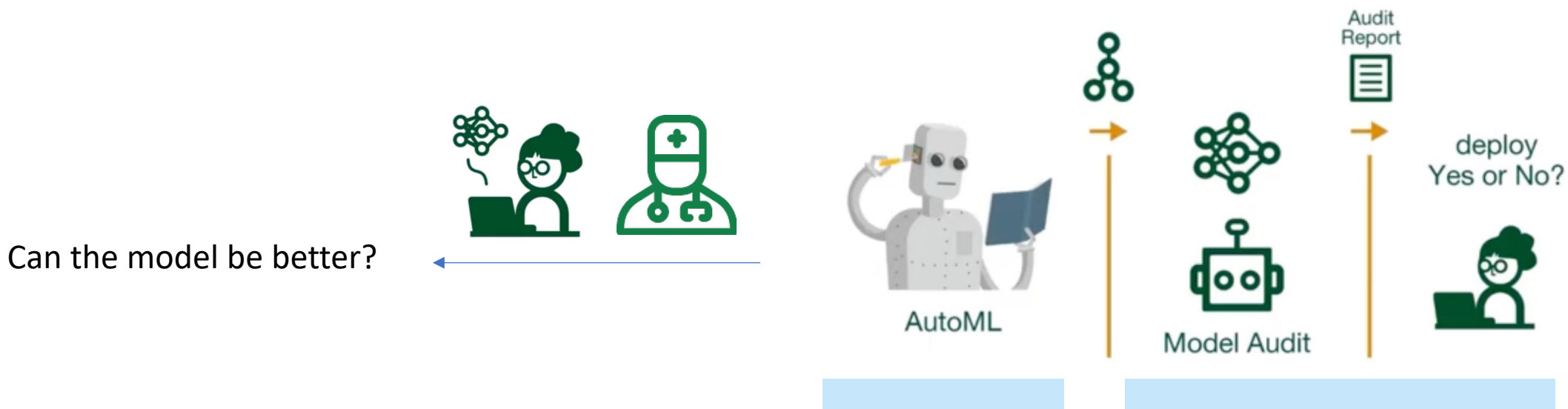
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	F1		
	$\mu \pm \sigma$	<i>best</i>	<i>best CL</i>
Mortality	0.566 ± 0.0529	0.660	XGB
Readmission	0.540 ± 0.0628	0.635	XGB
LOS ≥ 7	0.519 ± 0.1650	0.789	LR

The need to involve humans in the loop



	<i>Cardea</i>		
	$\mu \pm \sigma$	<i>best</i>	<i>best CL</i>
Mortality	0.566 ± 0.0529	0.660	XGB
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Increase transparency and controllability in AutoML

Random
Forest

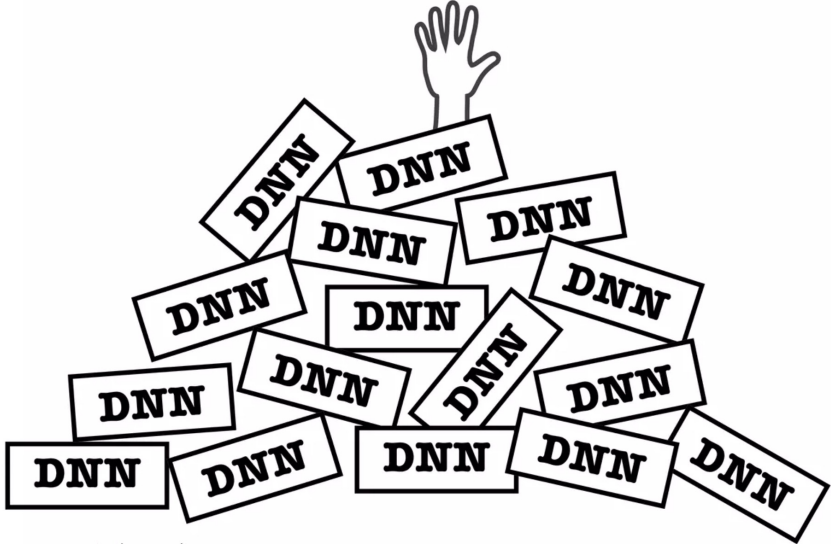
MLP

⋮

⋮

KNN

SVM



{ # layers = ?
neurons = ?

⋮

⋮

batch size = ?
learning rate = ?

Metric: f1

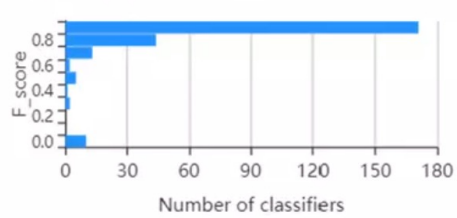
Best classifier: mlp-11939 0.939±0.004

Total classifiers: 248

Algorithm: Hyperpartition:

14/14 109/140

Performance:

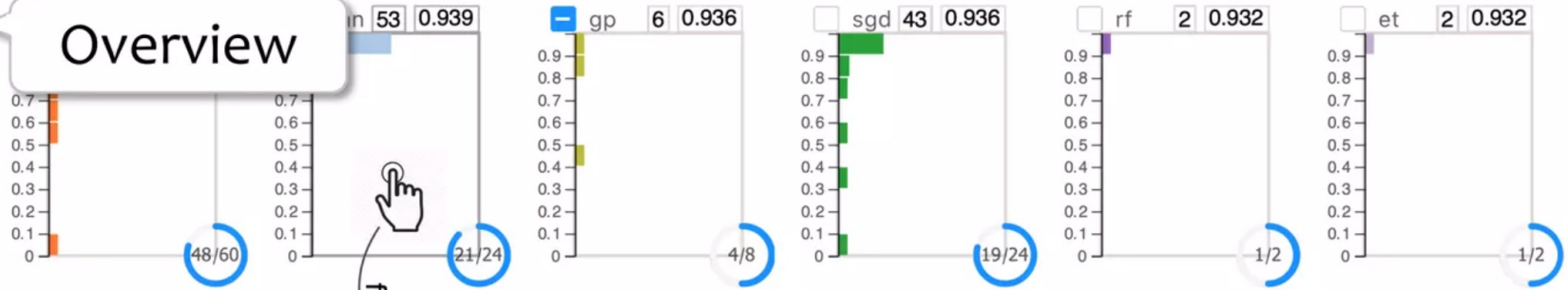


Top 6 Classifiers Focus:

<input type="checkbox"/>	mlp	0.939 ± 0.004
<input type="checkbox"/>	knn	0.939 ± 0.005
<input type="checkbox"/>	mlp	0.939 ± 0.006
<input type="checkbox"/>	mlp	0.937 ± 0.002
<input type="checkbox"/>	gp	0.936 ± 0.008
<input type="checkbox"/>	sgd	0.936 ± 0.003

Algorithms Check all

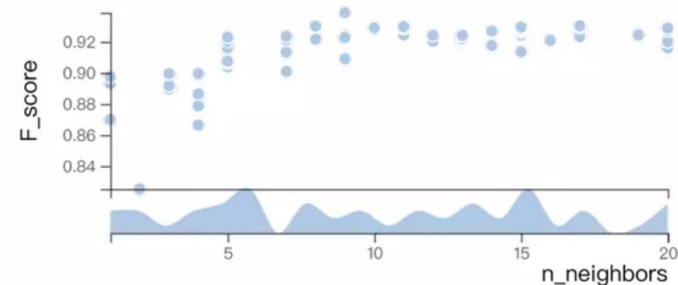
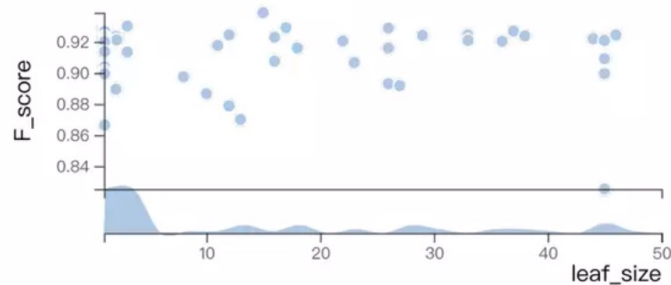
Overview



HyperPartitions of knn

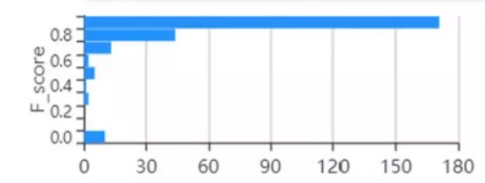


HyperParameters of knn



Metric: f1
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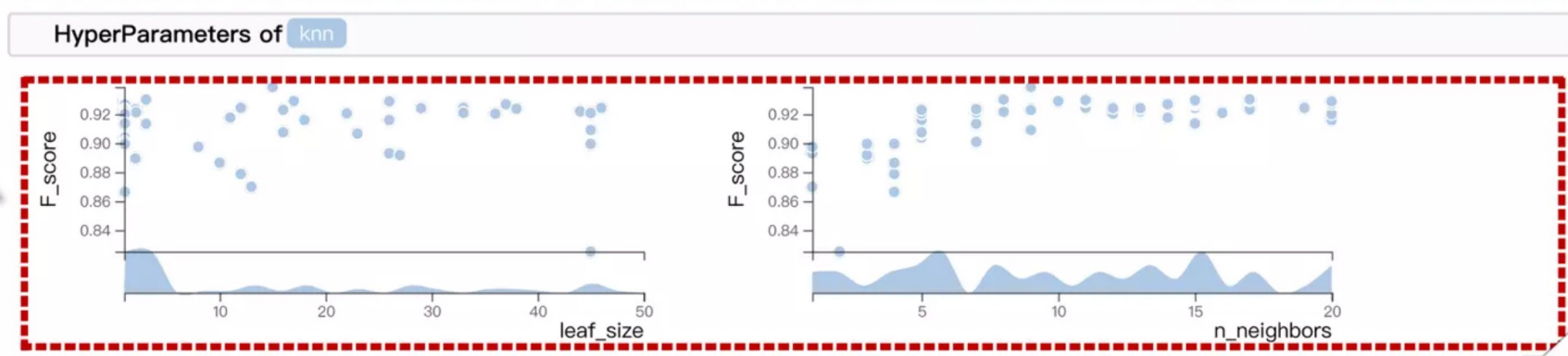
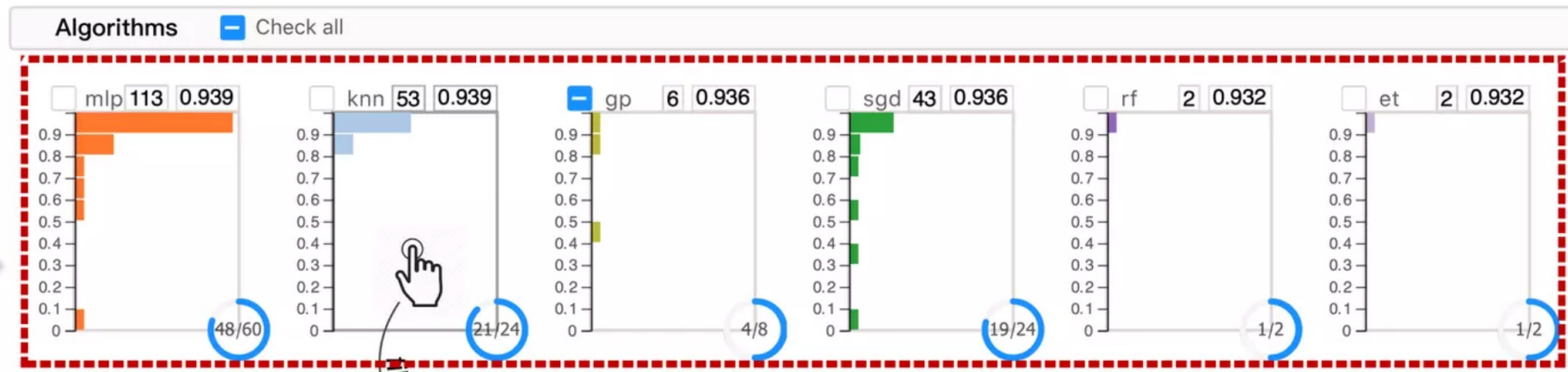
Algorithm Level
14/1



HyperPartition Level

HyperPartameter Level

sgd 0.936 ± 0.003



Datasets

Select a dataset

Dataruns

Select a datarun

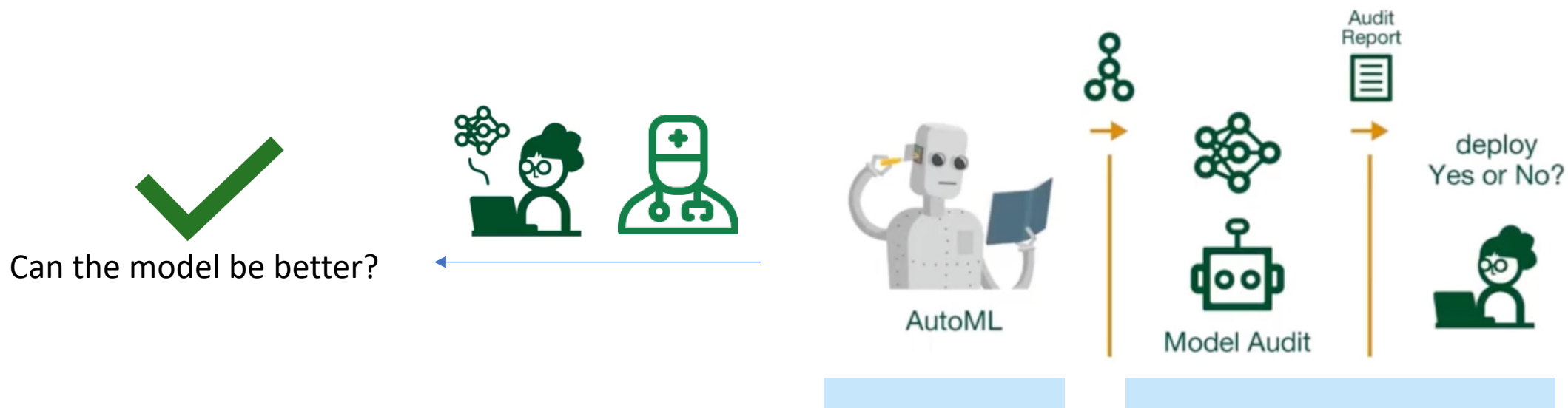
Data

Overview

Please select a datarun.



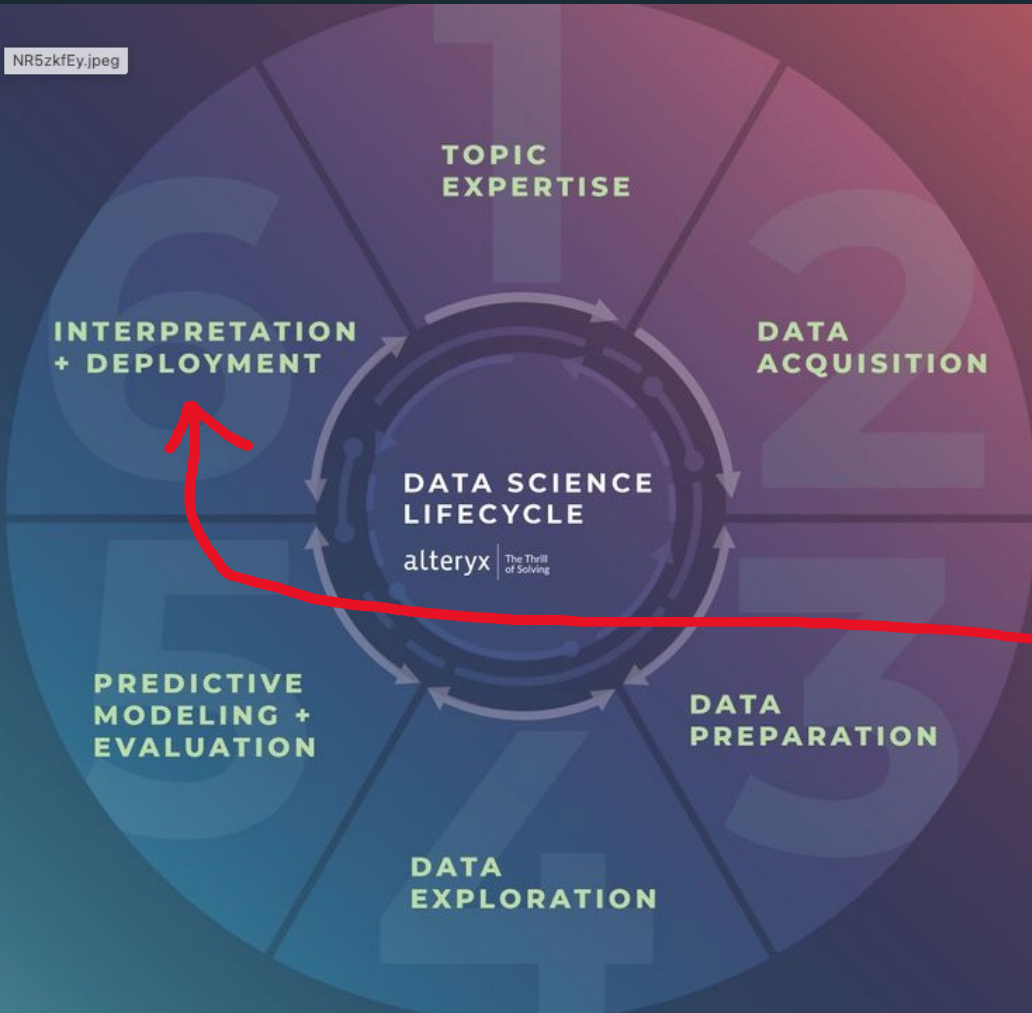
The need to involve humans in the loop



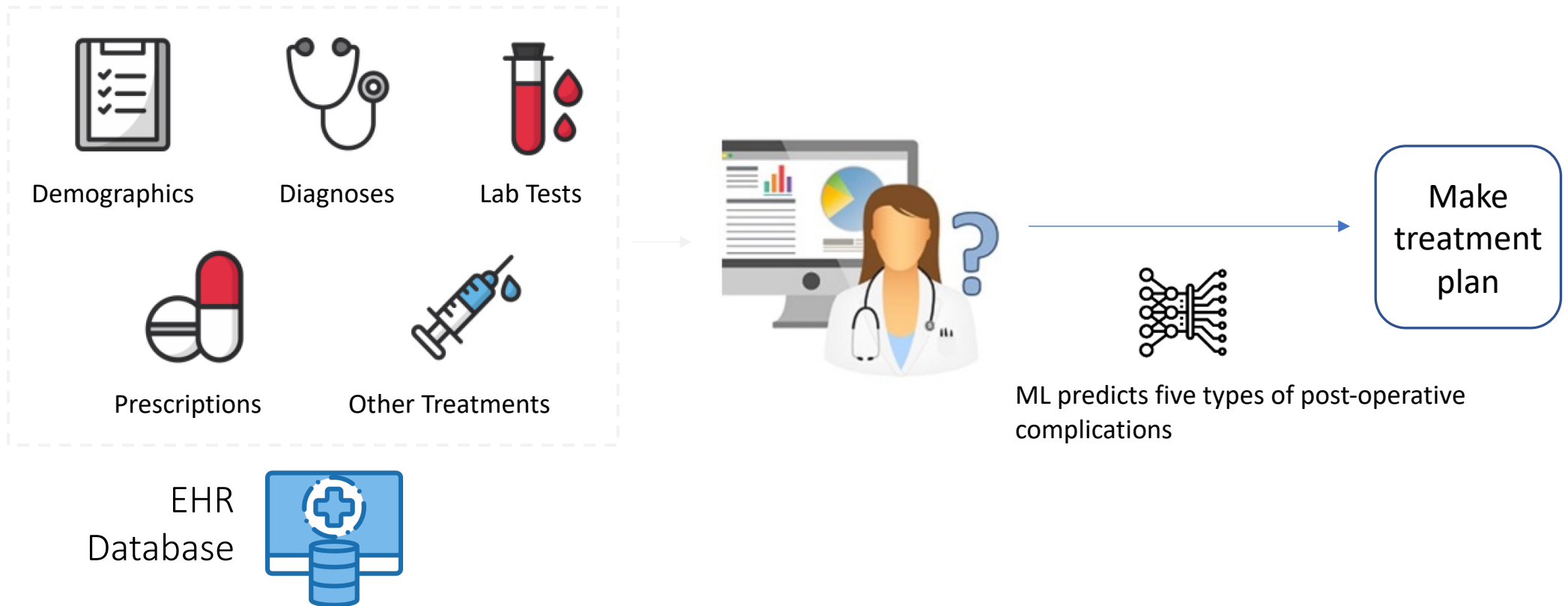
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Human-AI Teaming in Healthcare Data Analytics

- Data collection
A case of virtual cognitive testing
- Model development
AutoML + Visualization
- **Decision-making**
A case of pediatric cardiac surgery

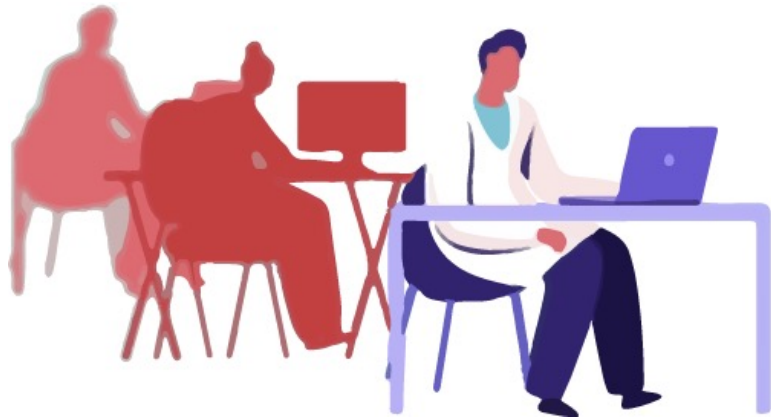


Post-surgical complication prediction



Research goal

- 6 experienced clinicians
- **Goal:** understand how clinicians expect to use ML models with feature explanations to support them make decisions.



EHR Data – PIC

A pediatric-specific intensive care database

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Data Descriptor | [Open Access](#) | [Published: 13 January 2020](#)

PIC, a paediatric-specific intensive care database

[Xian Zeng](#), [Gang Yu](#), [Yang Lu](#), [Linhua Tan](#), [Xiujing Wu](#), [Shanshan Shi](#), [Huilong Duan](#), [Qiang Shu](#) ✉ & [Haomin Li](#) ✉

[Scientific Data](#) **7**, Article number: 14 (2020) | [Cite this article](#)

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Follows the MIMIC-III format

Inform the design #1

click to expand

Name ↓ ^A	Value	Contribution ↓ ▾
In-surgery		
Pre-surgery		
In-surgery		
Surgical tim...	296↑	
Pulse		
Temperature		
CPB time (minutes)	134↑	

Unfamiliarity with ML-engineered features

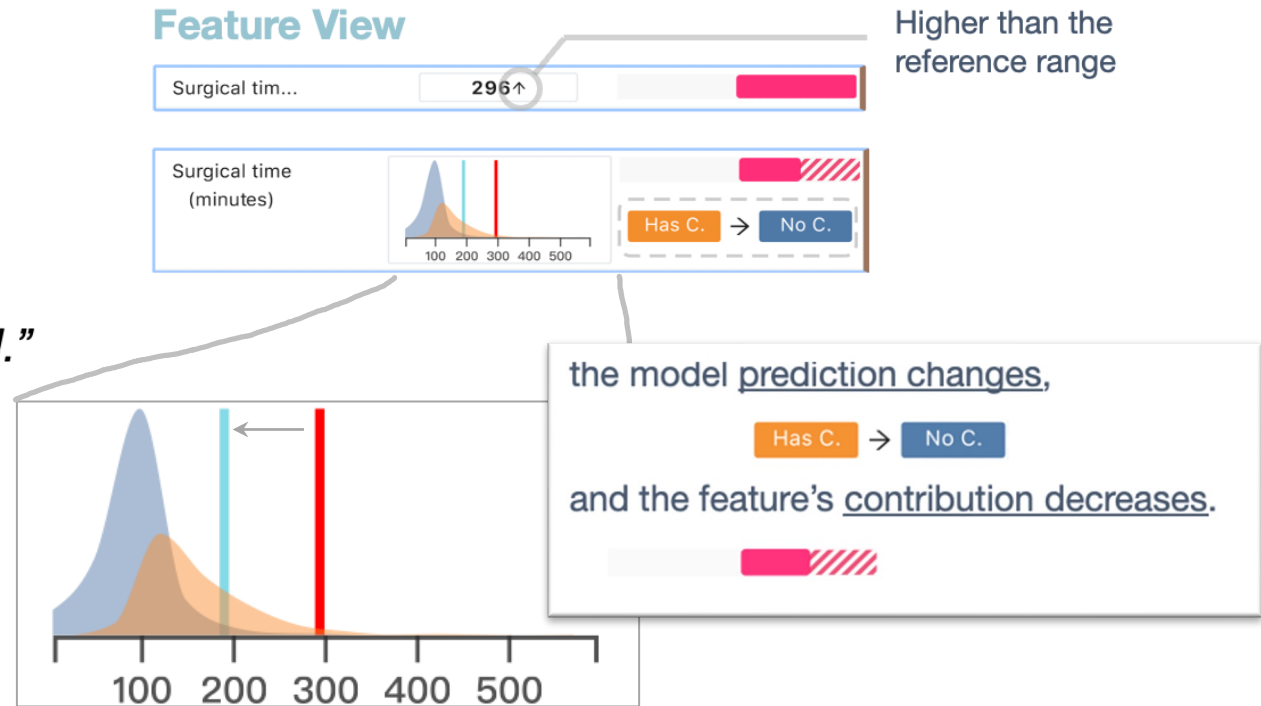
“We don’t often use statistical values like Trend or Standard Deviation (SD) in our work.”
(Clinician-P5)

“Users preferred to see related features together or how features may interact.”
(Wang et al., 2019)

Inform the design #2

Aligning with evidence

“I want to see the records from other patients and whether the target patient’s record values are within the 95% Confidence Interval.”
(Clinician-P1, Q7)

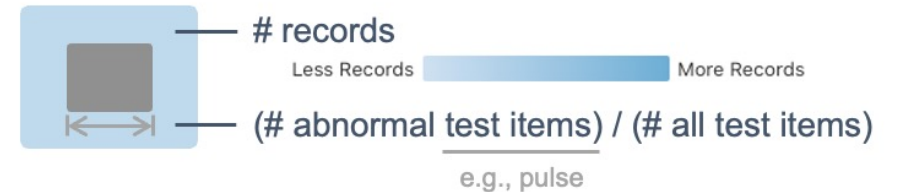
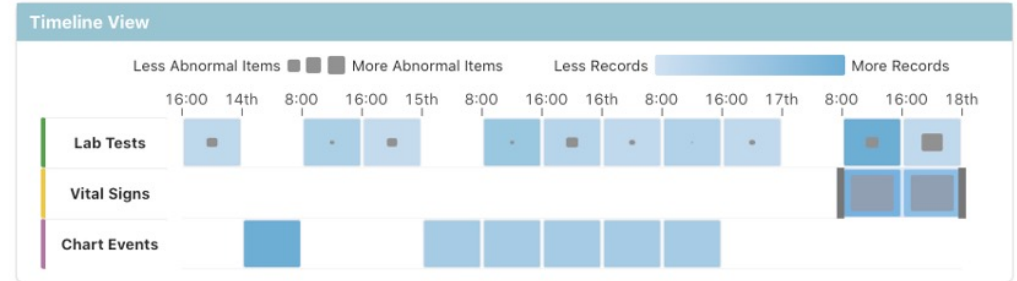


Inform the design #3

Connecting to original records

“I would also like to see other types of records from the patients to gain a comprehensive understanding of the patients’ situation.” (Clinician-P2, Q7)

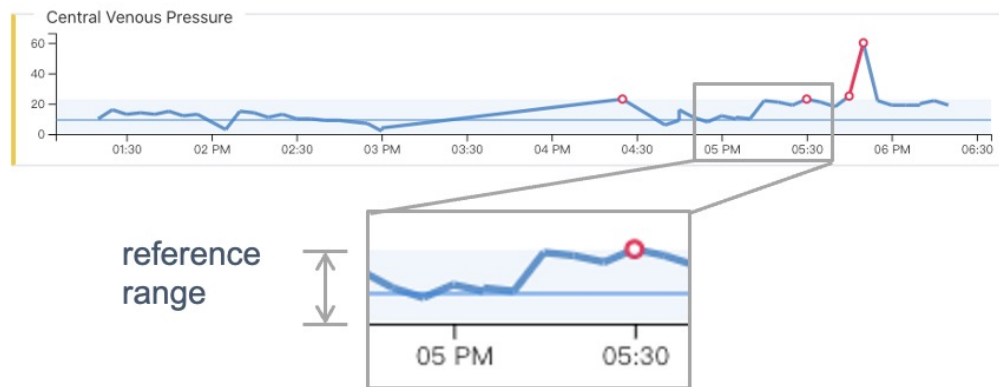
Timeline View: Overview of the longitude health records



Inform the design #3

Connecting to original records

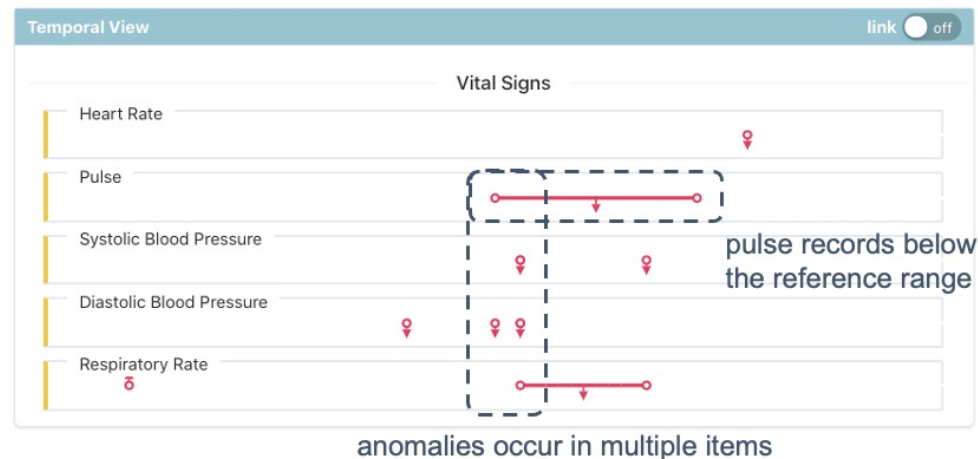
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Timeline View: Overview of the longitude health records

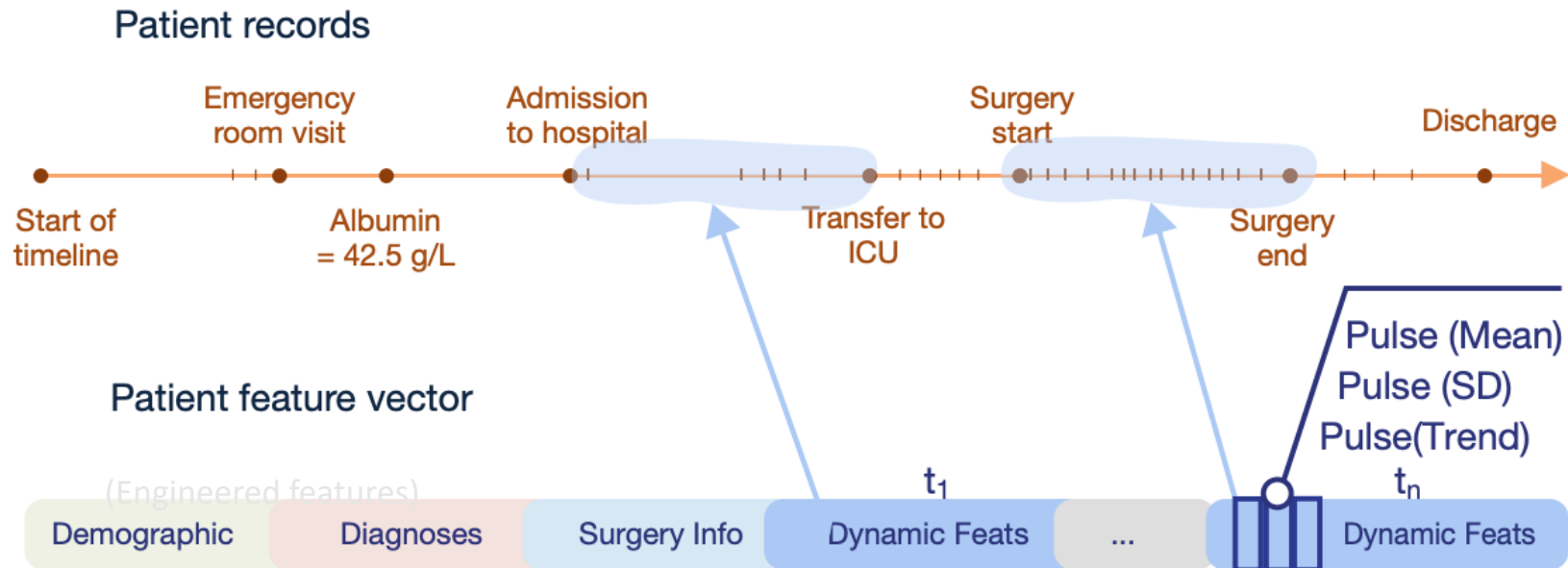


Temporal View: Details of health records time series



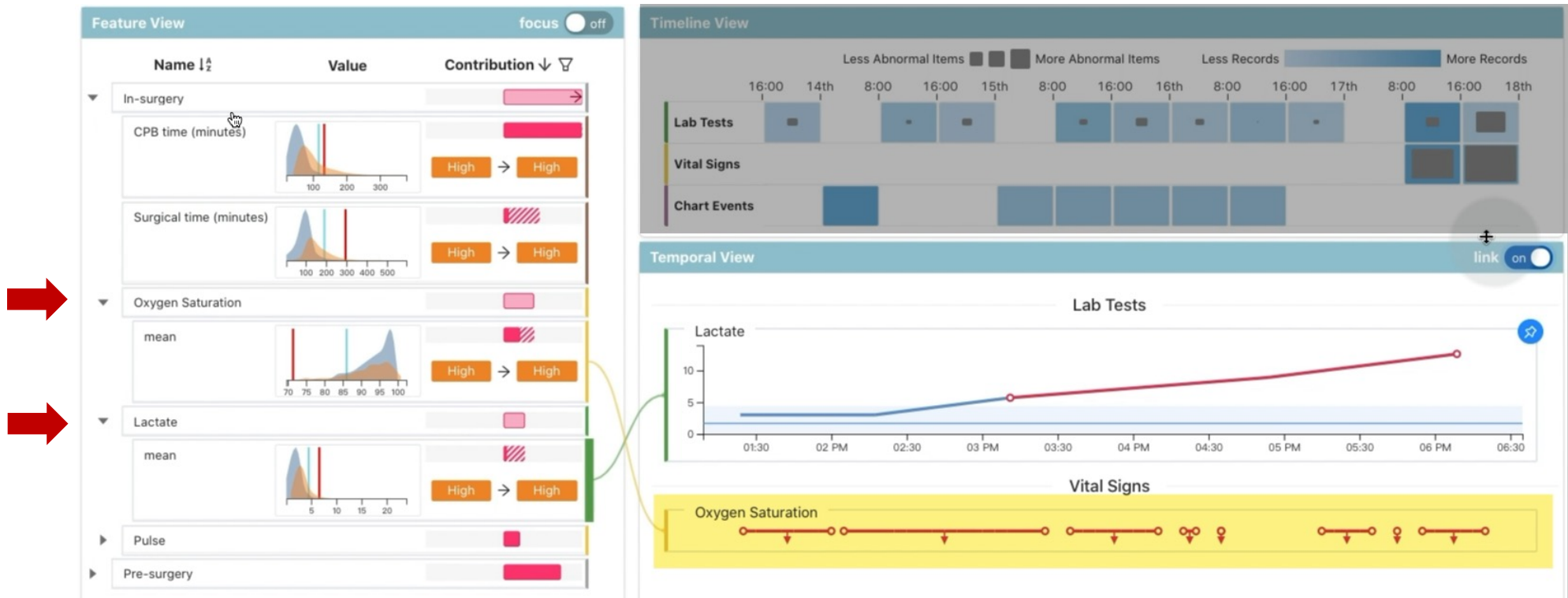
Inform the design #3

- Connect the dots between features and data



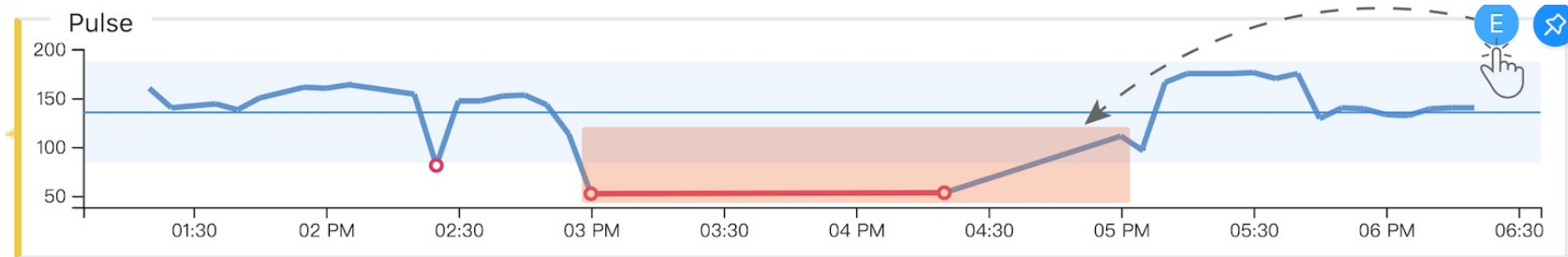
Inform the design #3

- Connect the dots between features and data



Inform the design #3

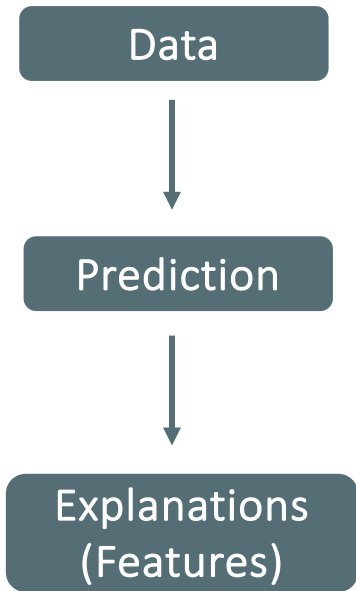
- Connect the dots between features and data



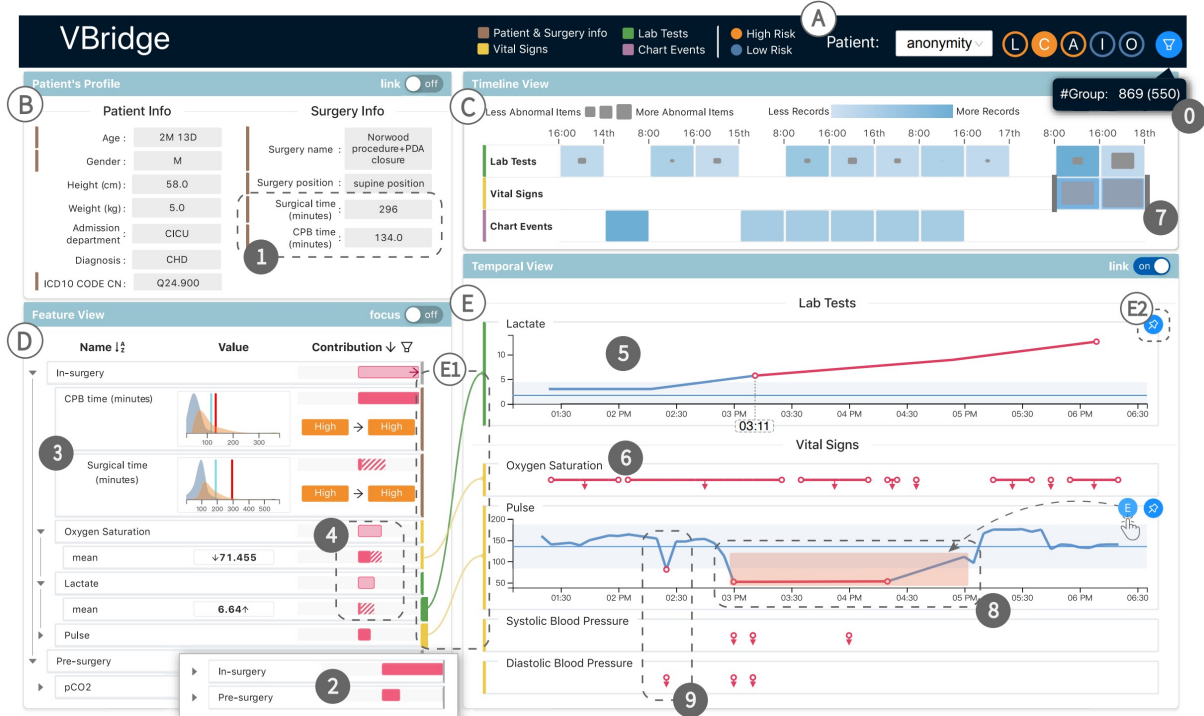
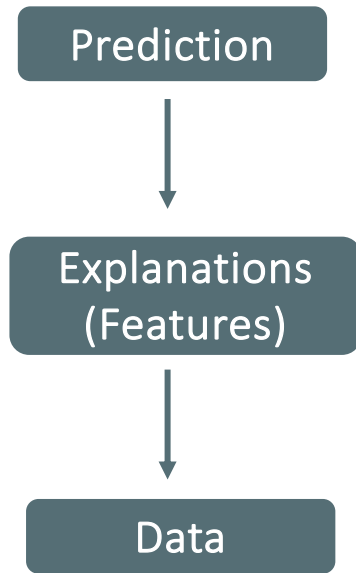
Influential time periods to feature values

Two analysis workflows

Forward



Backward



Recap ILOs

By the end of this class, you should be able to

- 1 **Describe** what is the data science life cycle
- 2 **Recognize** the importance of visualization-empowered human AI teaming in such a life cycle
- 3 **Explain** three key scenarios how human AI teaming can help with healthcare data analytics

Questions and Feedback

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 dongyu.tech

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